Edgar Filing: TRIDENT CAPITAL FUND V LP - Form 3

TRIDENT CAPITAL FUND V LP Form 3 November 08, 2005 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB APPROVAL** FORM 3 Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * TRIDENT CAPITAL MANAGEMENT V LLC

> (Last) (First) (Middle)

C/O TRIDENT CAPITAL, 505 HAMILTON AVENUE. SUITE 200

(Street)

PALO ALTO, CAÂ 94301

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Statement

(Month/Day/Year)

11/08/2005

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
			Derivative	Security:	

X 10% Owner Director Officer Other (give title below) (specify below)

(Check all applicable)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Person(s) to Issuer

IROBOT CORP [IRBT]

4. Relationship of Reporting

6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting Person

4. Nature of Indirect Beneficial

5. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned (Instr. 4)

(Instr. 5) or Indirect (Instr. 5)

Ownership

SEC 1473 (7-02)

3235-0104 Number: January 31, Expires: 2005

Estimated average burden hours per response... 0.5

3. Ownership Form: Direct (D)

(I)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Series E Preferred Stock	(1)	(1)	Common Stock	2,038,627	\$ <u>(1)</u>	Ι	See Footnoe (2)
Series F Preferred Stock	(1)	(1)	Common Stock	156,053	\$ <u>(1)</u>	Ι	See Footnote (2)
Series E Preferred Stock	(1)	(1)	Common Stock	1,826,277	\$ <u>(1)</u>	D (3)	Â
Series F Preferred Stock	(1)	(1)	Common Stock	139,798	\$ <u>(1)</u>	D (3)	Â
Series E Preferred Stock	(1)	(1)	Common Stock	10,129	\$ <u>(1)</u>	D (4)	Â
Series F Preferred Stock	(1)	(1)	Common Stock	775	\$ <u>(1)</u>	D (4)	Â
Series E Preferred Stock	(1)	(1)	Common Stock	10,614	\$ <u>(1)</u>	D (5)	Â
Series F Preferred Stock	(1)	(1)	Common Stock	813	\$ <u>(1)</u>	D (5)	Â
Series E Preferred Stock	(1)	(1)	Common Stock	52,859	\$ <u>(1)</u>	D (6)	Â
Series F Preferred Stock	(1)	(1)	Common Stock	4,046	\$ <u>(1)</u>	D (6)	Â
Series E Preferred Stock	(1)	(1)	Common Stock	138,748	\$ <u>(1)</u>	D (7)	Â
Series F Preferred Stock	(1)	(1)	Common Stock	10,621	\$ <u>(1)</u>	D (7)	Â

Reporting Owners

Reporting Owner Name / Address		Relationships				
		10% Owner	Officer	Other		
TRIDENT CAPITAL MANAGEMENT V LLC C/O TRIDENT CAPITAL 505 HAMILTON AVENUE, SUITE 200 PALO ALTO, CA 94301	Â	ÂX	Â	Â		
TRIDENT CAPITAL FUND V AFFILIATES FUND LP C/O TRIDENT CAPITAL 505 HAMILTON AVENUE, SUITE 200 PALO ALTO, CA 94301	Â	ÂX	Â	Â		
TRIDENT CAPITAL FUND V AFFILIATES FUND Q LP C/O TRIDENT CAPITAL	Â	ÂX	Â	Â		

505 HAMILTON AVENUE, SUITE 200 PALO ALTO, CA 94301					
TRIDENT CAPITAL FUND V PRINCIP C/O TRIDENT CAPITAL 505 HAMILTON AVENUE, SUITE 200 PALO ALTO, CA 94301	ALS FUND L P	Â	ÂX	Â	Â
TRIDENT CAPITAL PARALLEL FUND V CV C/O TRIDENT CAPITAL 505 HAMILTON AVENUE, SUITE 200 PALO ALTO, CA 94301		Â	ÂX	Â	Â
TRIDENT CAPITAL FUND V LP C/O TRIDENT CAPITAL 505 HAMILTON AVENUE, SUITE 200 PALO ALTO, CA 34301		Â	ÂX	Â	Â
Signatures					
/s/ Bonnie N. Kennedy Managing Director	11/08/2005				
**Signature of Reporting Person		Date			
/s/ Bonnie N. Kennedy, Managing Director, Trident Capital Fund-V Affiliates Fund, L.P.	11/07/2005				
**Signature of Reporting Person		Date			
/s/ Bonnie N. Kennedy, Managing Director, Trident Capital Fund-V Affiliates Fund (Q), L.P.	11/07/2005				
**Signature of Reporting Person		Date			
/s/ Bonnie N. Kennedy, Managing Director, Trident Capital Fund-V Principals Fund, L.P.	11/07/2005				
**Signature of Reporting Person		Date			
/s/ Bonnie N. Kennedy, Managing Director, Trident Capital Parallel Fund-V, C.V.	11/07/2005				
**Signature of Reporting Person		Date			
/s/ Bonnie N. Kennedy, Managing Director, Trident Capital Fund-V, L.P.	11/07/2005				
**Signature of Reporting Person		Date			

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The preferred stock is convertible at any time, at the holder's election, on a one-for-one basis and has no expiration date.

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Consists of shares held indirectly by Trident Capital Management-V, L.L.C. as sole general partner of Trident Capital Fund-V, L.P., Trident Capital Fund-V Affiliates Fund, L.P., Trident Capital Fund-V Affiliates Fund (Q), L.P., and Trident Capital Fund-V Principals

- (2) Fund, L.P. and the sole investment general partner of Trident Capital Parallel Fund-V, C.V. and may be deemed to share voting and investment power with respect to all shares held by those entities. Trident Capital Management-V, L.L.C. disclaims beneficial ownership of the reported securities except to the extent of its pecuniary interest, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of all of the reported shares for purposes of Section 16 or any other purpose.
- (3) Consists of shares owned by Trident Capital Fund-V, L.P.
- (4) Consists of shares owned by Trident Capital Fund-V Affiliates Fund (Q), L.P.
- (5) Consists of shares owned by Trident Capital Fund-V Affiliates Fund, L.P.
- (6) Consists of shares owned by Trident Capital Fund-V Principals Fund, L.P.
- (7) Consists of shares owned by Trident Capital Parallel Fund-V, C.V.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.