Edgar Filing: INTERCONTINENTALEXCHANGE INC - Form 4

INTERCONTINENTALEXCHANGE INC Form 4 November 19, 2007 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ł Person

OMB 3235-0287 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

OMB APPROVAL

Peniket David J	. •		Symbol INTERCONTINENTALEXCHANGE INC [ICE]	Issuer (Check all applicable)
(Last) 2100 RIVERED PARKWAY, SI		(Middle)	3. Date of Earliest Transaction(Month/Day/Year)11/15/2007	Director 10% Owner X Officer (give title Other (specify below) below) President & COO of ICE Futures
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting
ATLANTA. GA	A 30328			rom med by more than one Reporting

(City)	(State)	(Zip) Tabl	e I - Non-I	Derivative	Secu	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	11/15/2007		М	3,000	А	\$8	6,520 <u>(1)</u>	D	
Common Stock	11/15/2007		S <u>(2)</u>	400	D	\$ 169.7	6,120 <u>(1)</u>	D	
Common Stock	11/15/2007		S <u>(2)</u>	400	D	\$ 170	5,720 <u>(1)</u>	D	
Common Stock	11/15/2007		S <u>(2)</u>	200	D	\$ 170.05	5,520 <u>(1)</u>	D	
Common Stock	11/15/2007		S <u>(2)</u>	200	D	\$ 170.1	5,320 <u>(1)</u>	D	

Edgar Filing: INTERCONTINENTALEXCHANGE INC - Form 4

Common Stock	11/15/2007	S <u>(2)</u>	200	D	$^{\text{\$}}_{170.15}$ 5,120 $^{(1)}$ I)
Common Stock	11/15/2007	S <u>(2)</u>	200	D	\$ 4,920 <u>(1)</u> I)
Common Stock	11/15/2007	S <u>(2)</u>	1,000	D	\$ 3,920 <u>(1)</u> I	C
Common Stock	11/15/2007	S <u>(2)</u>	200	D	\$ 170.5 3,720 <u>(1)</u> I)
Common Stock	11/15/2007	S <u>(2)</u>	200	D	\$ 3,520 <u>(1)</u> I	C

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Derivative Expiration Date curities (Month/Day/Year quired) or sposed of) str. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 8	11/15/2007		М	3,000	(3)	10/11/2014	Common Stock	3,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer	Other			
Peniket David J 2100 RIVEREDGE PARKWAY SUITE 500 ATLANTA, GA 30328			President & COO of ICE Futures				

Signatures

/s/ Andrew J. Surdykowski, Attorney-in-fact

11/19/2007

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As previously reported, the reporting person also indirectly beneficially owns 400 shares of common stock, which were purchased by the reporting person's spouse on November 21, 2005.
- (2) The sales reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.
- (3) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.