

NRG ENERGY, INC.  
Form 4/A  
January 04, 2008

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Winn Steve

(Last) (First) (Middle)  
NRG ENERGY, INC., 211  
CARNEGIE CENTER  
(Street)

PRINCETON, NJ 08540

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NRG ENERGY, INC. [NRG]

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/10/2007

4. If Amendment, Date Original Filed(Month/Day/Year)  
12/12/2007

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP, Strategy, Env and New Bus

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, par value .01 per share	12/10/2007		M <sup>(1)</sup>		2,000	A	\$ 19.4
					25,762		
Common Stock, par value .01 per share	12/10/2007		M <sup>(1)</sup>		4,500	A	\$ 15.74
					30,262		
Common Stock, par value .01 per share	12/10/2007		S <sup>(1)</sup>		5,500	D	\$ 43.1
					24,762		

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Common Stock, par value .01 per share	12/10/2007	<u>S</u> <sup>(1)</sup>	300	D	\$ 43.51	24,462	D
Common Stock, par value .01 per share	12/10/2007	<u>S</u> <sup>(1)</sup>	300	D	\$ 43.52	24,162	D
Common Stock, par value .01 per share	12/10/2007	<u>S</u> <sup>(1)</sup>	100	D	\$ 43.54	24,062	D
Common Stock, par value .01 per share	12/10/2007	<u>S</u> <sup>(1)</sup>	100	D	\$ 43.55	23,962	D
Common Stock, par value .01 per share	12/10/2007	<u>S</u> <sup>(1)</sup>	100	D	\$ 43.6	23,862	D
Common Stock, par value .01 per share	12/10/2007	<u>S</u> <sup>(1)</sup>	100	D	\$ 43.61	23,762	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
	\$ 19.4	12/10/2007		<u>M</u> <sup>(1)</sup>	V (A) (D) 2,000	<u>(2)</u> 08/01/2011	Title Amount or Number of Shares 2,000

Non-Qualified  
Stock Options

Common  
Stock, par  
value  
\$.01 per  
share

Non-Qualified  
Stock Options

\$ 15.74

12/10/2007

M<sup>(1)</sup>

4,500

<sup>(3)</sup>

11/19/2014

Common  
stock, par  
value  
\$.01 per  
share

4,500

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Winn Steve NRG ENERGY, INC. 211 CARNEGIE CENTER PRINCETON, NJ 08540			EVP, Strategy, Env and New Bus	

## Signatures

/s/ Brian Curci, under Power of  
Attorney

12/12/2007

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Transaction made pursuant to a 10b5-1 plan dated November 9, 2007.
- (2) Pursuant to the Grant Agreement by and between NRG Energy, Inc. and Mr. Winn, the Stock Options vested and became exercisable as follows: 33 1/3% on August 1, 2006 and 33 1/3% on August 1, 2007. The remaining 33 1/3% will vest on August 1, 2008.
- (3) Pursuant to the Grant Agreement by and between NRG Energy, Inc. and Mr. Winn, the Stock Options vested and became exercisable as follows: 33 1/3% on November 19, 2005, 33 1/3% on November 19, 2006 and 33 1/3% on November 19, 2007.

### Remarks:

This Form 4 is being amended to reflect the exercise of stock options that were inadvertently omitted from the original filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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