

MALIS JERRY L
Form 4
January 27, 2011

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MALIS JERRY L

(Last) (First) (Middle)
3845 CORPORATE CENTRE DRIVE
(Street)

O'FALLON, MO 63368

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SYNERGETICS USA INC [SURG]

3. Date of Earliest Transaction
(Month/Day/Year)
01/26/2011

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chief Scientific Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	01/26/2011		S ⁽¹⁾	39,200 D	\$ 4.85	811,545	D
Common Stock	01/26/2011		S ⁽¹⁾	11,200 D	\$ 4.855	800,345	D
Common Stock	01/26/2011		S ⁽¹⁾	33,100 D	\$ 4.86	767,245	D
Common Stock	01/26/2011		S ⁽¹⁾	1,000 D	\$ 4.8665	766,245	D
Common Stock	01/26/2011		S ⁽¹⁾	5,865 D	\$ 4.87	760,380	D

Edgar Filing: MALIS JERRY L - Form 4

Common Stock	01/26/2011	S ⁽¹⁾	400	D	\$ 4.88	759,980	D
Common Stock	01/26/2011	S ⁽¹⁾	600	D	\$ 4.885	759,380	D
Common Stock	01/26/2011	S ⁽¹⁾	3,600	D	\$ 4.89	755,780	D
Common Stock	01/26/2011	S ⁽¹⁾	200	D	\$ 4.945	755,580	D
Common Stock	01/26/2011	S ⁽¹⁾	300	D	\$ 4.9475	755,280	D
Common Stock	01/26/2011	S ⁽¹⁾	2,935	D	\$ 4.95	752,345	D
Common Stock	01/26/2011	S ⁽¹⁾	100	D	\$ 4.955	752,245	D
Common Stock	01/26/2011	S ⁽¹⁾	1,500	D	\$ 4.96	750,745	D
Common Stock	01/26/2011	S ⁽¹⁾	50,000	D	\$ 5.05	700,745	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MALIS JERRY L 3845 CORPORATE CENTRE DRIVE O'FALLON, MO 63368	X		Chief Scientific Officer	

Signatures

/s/ Jerry L.
Malis

01/27/2011

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on January 11, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.