Edgar Filing: BERGES JAMES G - Form 4

| BERGES JA Form 4 July 06, 201 | | | | | | | | | | | |
|--|--|---------------|---|--|-----------------|--|--|--|--------------------------|--|--|
| • | ЛЛ | | | | | | | | PPROVAL | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | N OMB Number: | 3235-0287 | | |
| Check th if no lon subject t Section Form 4 o | MENT O | | SECUI | Estimated burden hou response | urs per | | | | | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> BERGES JAMES G | | | 2. Issuer Name and Ticker or Trading Symbol | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| a 5 | | | PPG INDUSTRIES INC [PPG] | | | | (Check all applicable) | | | | |
| (Last) (First) (Middle) 4 BRENTMOOR PARK | | | 3. Date of Earliest Transaction (Month/Day/Year) 07/01/2011 | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | | | |
| ST. LOUIS | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | | |
| (City) | (State) | (Zip) | Tak | la I Non I | Domination | Securities / | | of on Donoficio | lly Ormed | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | - | ed Date, if | 3. Transactio Code (Instr. 8) | 4. Securit | ies (A) or of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect | | |
| | | | | Code V | Amount | (D) Price | (Instr. 3 and 4) | | | | |
| Reminder: Rep | port on a separate line | e for each cl | ass of sec | urities bene | - | - | - | | | | |
| | | | | | inforr requi | nation con red to resp ays a curre | spond to the colle tained in this forn ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | orDerivative | Expiration Date | Underlying Securities |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Acquired (A) or | | |

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| | Derivative Security | | | Disposed of (D) (Instr. 3, 4, and 5) | | | | | | |
|---------------------------|------------------------|------------|------|--|---------|-----|---------------------|--------------------|-----------------|----------------------------------|
| | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Phantom Stock Units | <u>(1)</u> | 07/01/2011 | А | | 272.183 | | (2) | (2) | Common Stock | 272.183 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|------------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| BERGES JAMES G 4 BRENTMOOR PARK ST. LOUIS, MO 63105 | Х | | | | | | | |
| Signatures | | | | | | | | |
| Greg E. Gordon, Attorney-in-F Berges | | 07/06/2011 | | | | | | |
| <u>**</u> Signature of Reporting | | Date | | | | | | |
| Explanation of Re | spon | ses: | | | | | | |

If the form is filed by more than one reporting person, see Instruction 4(b)(v). *

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The security converts to common stock on a one-for-one basis.
- (2) After termination of service as a Director of PPG Industries, Inc.
- (3) Total of all phantom stock units held by the reporting person in the PPG Industries, Inc. Deferred Compensation Plan for Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.