

Turgeon Joseph W.
Form 3
April 24, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Turgeon Joseph W.

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

04/20/2013

3. Issuer Name **and** Ticker or Trading Symbol

SPECTRUM PHARMACEUTICALS INC [SPPI]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner

X Officer ___ Other

(give title below) (specify below)

Chief Commercial Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

X Form filed by One Reporting

Person

___ Form filed by More than One
Reporting Person

11500 S. EASTERN
AVENUE,Â SUITE 240

(Street)

HENDERSON,Â NVÂ 89052

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock, \$0.001 Par Value

25,000 ⁽¹⁾

D

Â

Common Stock, \$0.001 Par Value

25,000 ⁽²⁾

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of

5. Ownership
Form of
Derivative

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

Edgar Filing: Turgeon Joseph W. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	Â (3)	10/29/2022	Common Stock	150,000	\$ 11.05	D	Â
Stock Option (Right to Buy)	Â (4)	03/14/2023	Common Stock	15,000	\$ 7.79	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Turgeon Joseph W. 11500 S. EASTERN AVENUE SUITE 240 HENDERSON, NV 89052	Â	Â	Â Chief Commercial Officer	Â

Signatures

/s/ Brett L. Scott, attorney-in-fact for Joseph W. Turgeon

04/24/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 50% of the restricted shares will vest on April 29, 2013 and the remaining 50% will vest on October 29, 2013.
- (2) 25% of the restricted shares will vest on October 29, 2013 and an additional 25% on each anniversary thereafter over three years.
- (3) 25% of the option shares will vest on October 29, 2013. The remaining option shares will vest thereafter in equal monthly amounts over three years.
- (4) 25% of the option shares vested on March 14, 2013 and an additional 25% will vest on each anniversary thereafter over three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.