

UNIVERSAL ELECTRONICS INC

Form 4/A

August 15, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Kopaskie Mark S

2. Issuer Name and Ticker or Trading Symbol  
UNIVERSAL ELECTRONICS INC [UEIC]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
201 E. SANDPOINTE AVENUE, SUITE 800  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
05/09/2013

\_\_\_\_ Director  
 Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
EVP

SANTA ANA, CA 92707-6708

4. If Amendment, Date Original Filed(Month/Day/Year)  
05/16/2013

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)	
				Code	V	Amount				(A) or (D)
Common Stock	05/09/2013	05/09/2013	M			5,003	A \$ 16.25	32,779	D	
Common Stock	05/09/2013	05/09/2013	S			5,003	D \$ 23.9322	27,776	D	
Common Stock	05/09/2013	05/09/2013	M			5,000	A \$ 18.07	32,776	D	
Common Stock	05/09/2013	05/09/2013	S			5,000	D \$ 23.9322	27,776	D	
Common Stock	05/10/2013	05/10/2013	M			14,997	A \$ 16.25	42,773	D	

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Common Stock	05/10/2013	05/10/2013	S	14,997	D	\$ 24.6803	27,776	D
Common Stock <u>(1)</u>	05/13/2013	05/13/2013	A	593	A	\$ <u>26.06</u> <u>(2)</u>	28,369	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Rt to Buy)	\$ 16.25 <u>(3)</u>	05/09/2013	05/09/2013	M	5,003	06/10/2009 03/10/2019	Common Stock	5,003	
Employee Stock Option (Rt to Buy)	\$ 18.07 <u>(3)</u>	05/09/2013	05/09/2013	M	5,000	09/01/2010 09/01/2016	Common Stock	5,000	
Employee Stock Option (Rt to Buy)	\$ 16.25 <u>(3)</u>	05/10/2013	05/10/2013	M	14,997	12/10/2009 03/10/2019	Common Stock	14,997	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kopaskie Mark S 201 E. SANDPOINTE AVENUE SUITE 800 SANTA ANA, CA 92707-6708			EVP	

## Signatures

/s/Mark S. Kopaskie, by Richard A. Firehammer, Jr., pursuant to Limited Power of Attorney dated February 25, 2008 (attached)

08/15/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock issued pursuant to Restricted Stock Grant approved by the Compensation Committee of the Board of Directors on February 4, 2013 and ratified by the Board of Directors on February 13-14, 2013.
- (2) Price Determined in accordance with the Restricted Stock Grant approved by the Compensation Committee of the Board of Directors on February 4, 2013 and ratified by the Board of Directors on February 13-14, 2013.
- (3) Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.
- (4) Correction on misreported Derivative Securities Beneficially Owned on previous Form 4 filing due to a mathematical error.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.