Mattersight Corp Form 4 December 04, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

3235-0287 Number:

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Ownership

(Instr. 4)

(Instr. 4)

0.5

Estimated average burden hours per response...

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Iserloth Mark Andrew Issuer Symbol Mattersight Corp [MATR] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 200 S. WACKER DRIVE, SUITE 11/30/2013 below) below) 820 VP & CFO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CHICAGO, IL 60606 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial Indirect (I)

(Instr. 3 and 4) Code V Amount (D) Price Common 11/30/2013 F 942 (1) 47,890 D 4.8 Stock

(Instr. 8)

(Month/Day/Year)

Shares held Common in custodial 80 I Stock account for dependent

(Instr. 3, 4 and 5)

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Owned

Following

Reported

Transaction(s)

### Edgar Filing: Mattersight Corp - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exer     |            | 7. Title |          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|------------------|------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D     | ate        | Amou     | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/      | Year)      | Under    | lying    | Security    | Secur  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                |            | Securi   | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                  |            | (Instr.  | 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |                  |            |          |          |             | Follo  |
|             |             |                     |                    |            | (A) or     |                  |            |          |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |                  |            |          |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |                  |            |          |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                  |            |          |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |                  |            |          |          |             |        |
|             |             |                     |                    |            |            |                  |            |          |          |             |        |
|             |             |                     |                    |            |            |                  |            |          | Amount   |             |        |
|             |             |                     |                    |            |            | Date             | Expiration |          | or       |             |        |
|             |             |                     |                    |            |            | Exercisable Date |            | Title    | Number   |             |        |
|             |             |                     |                    |            |            | Excicisable      | Date       |          | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                  |            |          | Shares   |             |        |

## **Reporting Owners**

| Relationships |           |             |                                  |  |  |  |
|---------------|-----------|-------------|----------------------------------|--|--|--|
| Director      | 10% Owner | Officer     | Other                            |  |  |  |
|               |           | VP &<br>CFO |                                  |  |  |  |
|               | Director  |             | Director 10% Owner Officer  VP & |  |  |  |

# **Signatures**

Mark Iserloth 12/04/2013 \*\*Signature of Date Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This is not an open market sale of securities. This is a disposition of shares to the issuer in the form of share withholding upon vesting of restricted stock, to satisfy mandatory tax withholding obligations. The disposition occurred pursuant to a pre-existing award agreement and equity incentive plan and was approved in advance in the manner provided in Rule 16b-3(e) under the Securities Exchange Act of

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2