#### GLOBUS MEDICAL INC

Form 4 January 27, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Demski David M

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

GLOBUS MEDICAL INC [GMED]

(First) (Last)

3. Date of Earliest Transaction

(Month/Day/Year)

01/23/2014

\_X\_\_ Director X\_ Officer (give title

10% Owner Other (specify

**VALLEY FORGE BUSINESS** CENTER, 2560 GENERAL ARMISTEAD AVENUE

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Check all applicable)

President and COO

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

AUDUBON, PA 19403

(City)

1. Title of

Security

(Instr. 3)

(State) (Zip) 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Middle)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned **Following** 

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I)

Beneficial Ownership (Instr. 4) (Instr. 4)

(9-02)

(A)

Reported Transaction(s)

381,365

(Instr. 3 and 4) Code V Amount (D) Price

D

Class A

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: GLOBUS MEDICAL INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy Class A Common Stock)	\$ 23.95	01/23/2014		A	55,000		<u>(1)</u>	01/23/2024	Class A Common Stock	55,000
Stock Option (Right to Buy Class A Common Stock)	\$ 4.88						(2)	08/06/2019	Class A Common Stock	18,461
Stock Option (Right to Buy Class A Common Stock)	\$ 11.87						(3)	06/16/2020	Class A Common Stock	18,461
Stock Option (Right to Buy Class A Common Stock)	\$ 10.66						<u>(4)</u>	10/27/2021	Class A Common Stock	18,461
Stock Option (Right to Buy Class A Common Stock)	\$ 15.34						(5)	08/29/2022	Class A Common Stock	15,000
Stock Option	\$ 13.04						<u>(6)</u>	01/24/2023	Class A Common	40,000

(Right to Stock

Buy Class A Common Stock)

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Director 10% Owner Officer Other

Demski David M VALLEY FORGE BUSINESS CENTER 2560 GENERAL ARMISTEAD AVENUE AUDUBON, PA 19403

X President and COO

## **Signatures**

/s/ David P. Creekman, Attorney-in-Fact

01/27/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These options were granted on January 23, 2014, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on (1) January 1, 2015, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.
- These options were granted on August 6, 2009, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on (2) January 1, 2010, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.
- These options were granted on June 16, 2010, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on (3) January 1, 2011, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.
- These options were granted on October 27, 2011, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on (4) January 1, 2012, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.
- These options were granted on August 29, 2012, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on (5) January 1, 2013, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.
- These options were granted on January 24, 2013, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on (6) January 1, 2014, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3