Roadrunner Transportation Systems, Inc.

Form 3

February 28, 2014

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Roadrunner Transportation Systems, Inc. [RRTS] À Charlebois Kevin (Month/Day/Year) 02/19/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 4900 S. PENNSYLVANIA (Check all applicable) **AVENUE** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting President Prime Logistics Person CUDAHY, WIÂ 53110 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock  $2,723^{(1)}$ D Common Stock 4,866 (2) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise		6. Nature of Indirect Beneficial Ownership (Instr. 5)
	(	Derivative Security	of Exercise	roilli oi	(Illstr. 3)
		(Instr. 4)	Price of	Derivative	

#### Edgar Filing: Roadrunner Transportation Systems, Inc. - Form 3

Date Expiration Title Exercisable Date

Amount or Derivative Number of Security Shares Security: Direct (D) or Indirect (I)

(Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Charlebois Kevin

4900 S. PENNSYLVANIA AVENUE President Prime Logistics CUDAHY, WIÂ 53110

## **Signatures**

/s/ Kevin Charlebois 02/28/2014

\*\*Signature of Date
Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On February 22, 2012, Mr. Charlebois received an award of 3,630 restricted stock units. Each restricted stock unit represents the contingent right to receive one share of the Issuer's common stock. 25% of the restricted stock units vest on each of March 1, 2013, March 1, 2014, March 1, 2015, and March 1, 2016. On March 1, 2013, 25% of the restricted stock units vested and, accordingly, 907 shares of the Issuer's common stock were delivered to Mr. Charlebois.
- On February 20, 2013, Mr. Charlebois received an award of 4,866 restricted stock units. Each restricted stock unit represents the contingent right to receive one share of the Issuer's common stock. 25% of the restricted stock units vest on each of March 1, 2014, March 1, 2015, March 1, 2016, and March 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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