NN INC Form 3 September 08, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement NN INC [NNBR] KENNEDY JOHN C (Month/Day/Year) 08/29/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2000 WATERS EDGE (Check all applicable) DRIVE,, BUILDING C, SUITE 12 10% Owner \_X\_ Director (Street) Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person JOHNSON CITY. TNÂ 37604 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5)  $1,088,956 \stackrel{(1)}{\underline{}}{}^{(2)}$ Â Common Stock D Common Stock 100 Ι By wife Common Stock 20 Ι By son Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

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#### Edgar Filing: NN INC - Form 3

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 6. Nature of Indirect 5. Securities Underlying (Instr. 4) **Expiration Date** Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) Derivative (Instr. 4) Price of Derivative Security: **Expiration Title** Date Amount or Direct (D) Security Exercisable Date Number of or Indirect Shares (I)

(Instr. 5)

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KENNEDY JOHN C 2000 WATERS EDGE DRIVE, BUILDING C, SUITE 12 JOHNSON CITY, TN 37604	ÂΧ	Â	Â	Â

## **Signatures**

/s/ John C. 09/08/2014 Kennedy \*\*Signature of Date Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Autocam Corporation, a Michigan corporation ("Autocam"), which merged into a subsidiary of the issuer (the "Merger") pursuant to the (1) terms of that certain Agreement and Plan of Merger (the "Merger Agreement") among the issuer, PMC Global Acquisition Corporation, a Michigan corporation, Autocam, Newport Global Advisors, L.P., a Delaware limited partnership, and the Reporting Person. (Continued

The Reporting Person acquired 1,086,956 shares of issuer common stock in exchange for the Reporting Person's equity interest in

- to footnote 2)
- The shares of issuer common stock were issued at a fixed value of \$23 per share. 652,174 shares of issuer common stock are currently being held in escrow and are subject to forfeiture to satisfy claims arising due to any post-closing purchase price adjustment made pursuant to the Merger Agreement or due to Autocam's breach of any of its representations, warranties or covenants in the Merger Agreement. The Reporting Person became a Section 16 reporting person after the effective time of the merger.



#### **Remarks:**

Power of Attorney is attached to this Form 3 as Exhibit 24.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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