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### **CENTRAL GARDEN & PET CO** Form 3 September 08, 2015 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB APPROVAL** FORM 3 Washington, D.C. 20549

### **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

### (Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Machek Howard		2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol CENTRAL GARDEN & PET CO [CENT]			
	(Last)	(First)	(Middle)	09/02/2015	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date O Filed(Month/Day/Year)
	C/O CENTI	RAL GAR	DEN &			Thee(monal/Day/Tear)

# PET COMPANY, 1340 TREAT BOULEVARD, SUITE 600

(Street)

# WALNUT CREEK. CAÂ 94597

(City)	(State)	(Zip)	Table I - Non-Derivative Securities Beneficially Owned				
1.Title of Secur (Instr. 4)	rity		2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Sto	ock		1,000	D	Â		
Class A Con	nmon Stock		21,960 <u>(1)</u>	D	Â		
Units - Com	mon Stock		311.3467 (2)	Ι	By 401(k) Plan		
Units - Class A Common Stock			1,095.6336 <u>(3)</u>	Ι	By 401(k) Plan		

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Original

10% Owner Director \_X\_\_ Officer Other (give title below) (specify below) Chief Accounting Officer

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

# (Check all applicable)

OMB 3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(4)	04/13/2017	Class A Common Stock	20,000	\$ 9.26	D	Â
Stock Option (Right to Buy)	(5)	03/26/2018	Class A Common Stock	18,000	\$ 9.54	D	Â
Stock Option (Right to Buy)	(6)	03/31/2019	Class A Common Stock	18,000	\$ 6.43	D	Â
Stock Option (Right to Buy)	(7)	03/31/2021	Class A Common Stock	18,000	\$ 10.63	D	Â

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# **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationships				
		10% Owner	Officer	Other		
Machek Howard C/O CENTRAL GARDEN & PET COMPANY 1340 TREAT BOULEVARD, SUITE 600 WALNUT CREEK, CA 94597	Â	Â	Chief Accounting Officer	Â		

# Signatures

/s/ Howard Machek	09/08/2015
<u>**</u> Signature of Reporting Person	Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 9,460 restricted shares of Class A Common Stock granted on February 14, 2014 of which one-third vest annually on February
  (1) 14, 2017, 2018 and 2019, and 4,500 restricted shares of Class A Common Stock granted on September 16, 2014, of which one-third vest annually on March 31, 2016, 2017 and 2018.
- (2) The units represent interests in the CENT Stock Fund in the Issuer's 401(k) Plan, which consist of shares of CENT stock and cash.
- (3) The units represent interests in the CENTA Stock Fund in the Issuer's 401(k) Plan, which consist of shares of CENTA stock and cash.
- Options were granted on April 13, 2011 of which 12,800 shares are vested and exercisable, 3,200 shares will vest and be exercisable on March 13, 2017 and 4,000 shares are subject to the satisfaction of performance conditions.

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- (5) Options were granted on March 26, 2012 of which 13,500 shares are vested and exercisable and the remaining 4,500 shares will vest and be exercisable on March 26, 2016.
- (6) Options were granted on August 12, 2013 of which 9,000 shares are vested and exercisable and the remaining 9,000 shares will vest and be exercisable as follows: 4,500 shares on March 31, 2016 and 4,500 shares on March 31, 2017.
- (7) Options were granted on April 16, 2015. One-fourth (i.e. 4,500) of the total shares will vest on March 31, 2016, 2017, 2018 and 2019, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.