

Steris plc
Form 4
November 02, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TOKICH MICHAEL J

(Last) (First) (Middle)

C/O CHANCERY HOUSE, 190
WATERSIDE ROAD, HAMILTON
INDUSTRIAL PARK

(Street)

LEICESTER, X0 LE5 1QZ

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Steris plc [STE]

3. Date of Earliest Transaction
(Month/Day/Year)
11/02/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
SVP, CFO & Treasurer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Ordinary Shares, ?0.10 Nominal Value	11/02/2015		A	39,128 (1)	A	2	39,128 D
Ordinary Shares, ?0.10 Nominal Value	11/02/2015		A	3,069	A	2	3,067 I
							See Footnote Below. (3)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 27.45	11/02/2015		A	2,000	<u>(4)</u> 11/01/2017	Ordinary Shares, 2,000 ?0.10 Nominal Value
Employee Stock Option (right to buy)	\$ 26.41	11/02/2015		A	13,600	<u>(5)</u> 03/14/2018	Ordinary Shares, 13,600 ?0.10 Nominal Value
Employee Stock Option (right to buy)	\$ 22.83	11/02/2015		A	12,000	<u>(6)</u> 05/21/2019	Ordinary Shares, 12,000 ?0.10 Nominal Value
Employee Stock Option (right to buy)	\$ 31.87	11/02/2015		A	11,000	<u>(7)</u> 05/20/2020	Ordinary Shares, 11,000 ?0.10 Nominal Value
Employee Stock Option (right to buy)	\$ 36.09	11/02/2015		A	14,500	<u>(8)</u> 05/31/2021	Ordinary Shares, 14,500 ?0.10 Nominal Value
Employee Stock Option	\$ 29.94	11/02/2015		A	20,000	<u>(9)</u> 05/30/2022	Ordinary Shares, 20,000 ?0.10

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- (5) This option to purchase 13,600 New STERIS ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 13,600 STERIS common shares for \$26.41 per share, subject to the same terms and conditions as the original STERIS stock option.
- (6) This option to purchase 12,000 New STERIS ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 12,000 STERIS common shares for \$22.83 per share, subject to the same terms and conditions as the original STERIS stock option.
- (7) This option to purchase 11,000 New STERIS ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 11,000 STERIS common shares for \$31.87 per share, subject to the same terms and conditions as the original STERIS stock option.
- (8) This option to purchase 14,500 New STERIS ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 14,500 STERIS common shares for \$36.09 per share, subject to the same terms and conditions as the original STERIS stock option.
- (9) This option became or will become exercisable as follows: 5,000 on May 30, 2013; 5,000 on May 30, 2014; 5,000 on May 30, 2015 and 5,000 on May 30, 2016. This option was received in the Merger in exchange for an option to purchase 20,000 STERIS common shares for \$29.94 per share, subject to the same terms and conditions as the original STERIS stock option.
- (10) This option became or will become exercisable as follows: 5,500 on May 31, 2014; 5,500 on May 31, 2015; 5,500 on May 31, 2016 and 5,500 on May 31, 2017. This option was received in the Merger in exchange for an option to purchase 22,000 STERIS common shares for \$45.34 per share, subject to the same terms and conditions as the original STERIS stock option.
- (11) This option became or will become exercisable as follows: 7,000 on May 30, 2015; 7,000 on May 30, 2016; 7,000 on May 30, 2017 and 7,000 on May 30, 2018. This option was received in the Merger in exchange for an option to purchase 28,000 STERIS common shares for \$53.52 per share, subject to the same terms and conditions as the original STERIS stock option.
- (12) This option will become exercisable as follows: 5,000 on May 28, 2016; 5,000 on May 28, 2017; 5,000 on May 28, 2018 and 5,000 on May 28, 2019. This option was received in the Merger in exchange for an option to purchase 20,000 STERIS common shares for \$67.98 per share, subject to the same terms and conditions as the original STERIS stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.