Edgar Filing: Andersons, Inc. - Form 4

| Andersons, Inc. Form 4 | | | | | | | | | | | | |
|--|------------------------|---------|--|--------------------------|-------------|-----------------|------------------------------------|--|---|--|--|--|
| January 07, 2016 | | | | | | | | | | | | |
| FORM 4 | INUTED | | e eecuditiee | | | OF (| | ICCION | | OMB APPRO | OVAL | |
| Washington, D.C. 20549 | | | | | | | 15510N | OME Num | ber: 32 | 35-0287 | | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 | | | OF CHANGES IN BENEFICIAL OWNERSHIP SECURITIES | | | | | | Expires:January 31, 2005Estimated average burden hours per response0.5 | | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | | |
| (Print or Type Respon | ises) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Rex Anne G | | | 2. Issuer Name and Ticker or Trading Symbol Andersons, Inc. [ANDE] | | | | | elationship of Reporting Person(s) to er | | | | |
| (Last) (I | First) (1 | Middle) | | | - | | | (Check | Check all applicable) | | | |
| P O BOX 119 | | | 3. Date of Earliest Transaction (Month/Day/Year) | | | | | Director 10% Owner | | | | |
| | | | 01/05/2016 _X_0 below) | | | | | Officer (give title Other (specify below) VP & Corporate Controller | | | | |
| (5 | Street) | - | | | | | vidual or Joint/Group Filing(Check | | | | | |
| MAUMEE, OH 43537 | | | For | | | | | off Line) rm filed by One Reporting Person m filed by More than One Reporting | | | | |
| | State) | (Zip) | Table I - Non | -Derivativ | e Securitie | es Aco | Person | isposed of . | or Be | neficially Ov | vned | |
| 1.Title of Security (Instr. 3) | 2. Transac (Month/D | | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactio Code | 4. Securi | ties A spose | cquired d of (D) | 5. Amount Securities Beneficial Owned Following Reported Transaction | t of lly s on(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial | |
| ~~~~ | | | | Code V | Amount | (D) | Price | (Instr. 3 ar | nd 4) | | | |
| COMMON STOCK | 01/05/20 |)16 | | J <u>(1)</u> | 6.3 | А | \$ 31.63 | 15,028.3 | 3 | D | | |
| COMMON STOCK | 01/05/20 |)16 | | F | 318 | D | \$ 31.63 | 14,710.3 | 3 | D | | |
| PERFORMANC SHARE UNIT (2016) | E | | | | | | | 1,320 <u>(2)</u> | | D | | |
| PERFORMANC SHARE UNIT (2017) | E | | | | | | | 1,600 <u>(3)</u> | | D | | |
| | | | | | | | | 2,058 (3) | | D | | |

PERFORMANCE SHARE UNIT (2018)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Unde Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|---------------|--|---|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | e / Address Relationships | | | | | | | |
|---|---------------------------|--------------|---------------------------|-------|--|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | | |
| Rex Anne G P O BOX 119 MAUMEE, OH 43537 | | | VP & Corporate Controller | | | | | |
| Signatures | | | | | | | | |
| Anne G. Rex, by: Mary Schroe Attorney | der, Limi | ted Power of | 01/06/2016 | | | | | |

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Divident equivalent received.

Stock performance unit granted pursuant to The Andersons, Inc. plan. Units vest 100% in 27 months contingent on cumulative EPS from
 (2) 10/01/2013 to 12/31/2015. Number of underlying shares are determined by the twenty-seven months cumulative fully diluted EPS for the performance period.

Date

Edgar Filing: Andersons, Inc. - Form 4

(3) Stock performance unit granted pursuant to The Andersons, Inc. Plan. Units vest 100% in 3 years contingent on cumulative EPS. Number of underlying shares are determined by the three-year cumulative fully diluted EPS for the performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.