NEOGENOMICS INC

Form 4

March 10, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * VAN OORT DOUGLAS M

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

NEOGENOMICS INC [NEO]

(Check all applicable)

Chairman and CEO

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner Other (specify X_ Officer (give title

12701 COMMONWEALTH DRIVE 03/08/2016

(Street)

(First)

(Middle)

below)

OMB APPROVAL

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(Last)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

FORT MYERS, FL 33913

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative Se	ecuriti	es Acqu	ired, Disposed o	f, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	03/08/2016		M	1,000,000	A	\$ 0.8	2,125,000 (1)	D	
Common Stock	03/08/2016		S	290,000 (2)	D	\$ 7.19	1,835,000	D	
Common Stock	03/09/2016		S	160,000 (2)	D	\$ 6.93	1,675,000	D	
Common Stock							187,500	I	Conundrum Capital Partners (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Transactic Code (Instr. 8)	5. Number of or	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying (Instr. 3 ar
	·		Code V		Date Exercisable	Expiration Date	Title
StockOption (Right to Buy)	\$ 0.8	03/08/2016	X	1,000,000	12/31/2009	03/15/2016(4)	Commo Stock
Stock Option (Right to Buy)	\$ 1.71				02/14/2013(5)	02/14/2017	Commo Stock

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

VAN OORT DOUGLAS M 12701 COMMONWEALTH DRIVE SUITE 9 FORT MYERS, FL 33913

Chairman and CEO

Signatures

/s/ Douglas M.

03/10/2016 VanOort **Signature of Reporting Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 125,000 shares of restricted stock that the Board of Directors approved and granted on April 15, 2014. The terms of the award (1) call for the stock to vest on the third anniversary of the grant date as long as Mr. Van Oort is still an employee of the Company. This was disclosed in our Quarterly Report on Form 10-Q as filed with the Securities and Exchange Commission on July 29, 2014.
- Common stock was sold on March 8, 2016 and March 9, 2016 and proceeds from the sale were used as payment of the exercise price and (2) tax liability associated with the exercise of the 1,000,000 stock options expiring on March 15, 2016. The total shares disposed of were 450,000 shares and 550,000 were retained.
- Conundrum Capital Partners, LLC is a limited liability partnership 50% owned by Mr. VanOort. Mr. VanOort is a managing partner of Conundrum Capital Partners, LLC.

Reporting Owners 2

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- On March 19, 2009, Mr. Van Oort was granted options to purchase 1,000,000 shares. These options are being exercised as they expire on March 15, 2016.
- (5) On February 14, 2012, Mr. VanOort was granted options to purchase 800,000 shares. These shares vest equally over each of the first four anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.