

Steris plc  
 Form 3  
 February 03, 2017

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |   |  |   |   |  |
|---|---|--|---|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Burton Karen L</p> <p>(Last) (First) (Middle)</p> <p>C/O CHANCERY HOUSE, 190<br/>             WATERSIDE<br/>             RD.,Â HAMILTON<br/>             INDUSTRIAL PARK</p> <p>(Street)</p> <p>LEICESTER,Â X0Â LE5 1QZ</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>01/26/2017</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>Steris plc [STE]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br/> <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br/>             (give title below) (specify below)<br/>             VP, Controller &amp; CAO</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person<br/> <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|--|---|---|--|

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Ordinary Shares, 0.10 par value    | 8,726 <sup>(1)</sup>                                     | D   | Â  |
| Ordinary Shares, 0.10 par value    | 319 <sup>(2)</sup>                                       | I   | By 401(k)  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                                  | 4. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5. Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|---|
|   | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount or<br>Number of<br>Shares |  |  |   |
| Employee Stock Option<br>(right to buy)       | Â <u>(3)</u>   | 05/21/2018         | Ordinary<br>Shares   | 475                              | \$ 30.84   | D  | Â   |
| Employee Stock Option<br>(right to buy)       | Â <u>(4)</u>   | 05/20/2020         | Ordinary<br>Shares   | 3,800                            | \$ 31.87   | D  | Â   |
| Employee Stock Option<br>(right to buy)       | Â <u>(5)</u>   | 05/31/2021         | Ordinary<br>Shares   | 3,800                            | \$ 36.09   | D  | Â   |
| Employee Stock Option<br>(right to buy)       | Â <u>(6)</u>   | 05/30/2022         | Ordinary<br>Shares   | 3,700                            | \$ 29.94   | D  | Â   |
| Employee Stock Option<br>(right to buy)       | Â <u>(7)</u>   | 05/31/2023         | Ordinary<br>Shares   | 4,040                            | \$ 45.34   | D  | Â   |
| Employee Stock Option<br>(right to buy)       | Â <u>(8)</u>   | 05/30/2024         | Ordinary<br>Shares   | 3,400                            | \$ 53.52   | D  | Â   |
| Employee Stock Option<br>(right to buy)       | Â <u>(9)</u>   | 05/28/2025         | Ordinary<br>Shares   | 2,852                            | \$ 66.15   | D  | Â   |
| Employee Stock Option<br>(right to buy)       | Â <u>(10)</u>  | 06/01/2026         | Ordinary<br>Shares   | 2,600                            | \$ 69.72   | D  | Â   |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                              |       |
|---|---------------|-----------|------------------------------|-------|
|   | Director      | 10% Owner | Officer                      | Other |
| Burton Karen L<br>C/O CHANCERY HOUSE, 190 WATERSIDE RD.<br>HAMILTON INDUSTRIAL PARK<br>LEICESTER, Â X0Â LE5 1QZ | Â             | Â         | Â VP,<br>Controller &<br>CAO | Â     |

## Signatures

/s/ Ronald E. Snyder, Authorized Representative under Power of Attorney

02/03/2017

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 5,956 of these shares are restricted and vest as follows: 1,300 on May 31, 2017; 1,000 on April 2, 2018; 972 on May 30, 2018; 764 on May 28, 2019; 700 on October 1, 2019 and 1,220 on June 1, 2020.
- (2) Based on closing price of February 2, 2017.

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- (3) This option was granted as part of an award of 2,100 ordinary shares on May 21, 2008, 1,625 of which has been exercised.
- (4) This option was granted on May 20, 2010 and is fully vested.
- (5) This option was granted on May 31, 2011 and is fully vested.
- (6) This option was granted on May 30, 2012 and is fully vested.
- (7) This option was granted on May 31, 2013 and is exercisable in four equal amounts as follows: 1,010 on May 31, 2014, 1,010 on May 31, 2015, 1,010 on May 31, 2016 and 1,010 on May 31, 2017.
- (8) This option was granted on May 30, 2014 and is exercisable in four equal amounts as follows: 850 on May 30, 2015, 850 on May 30, 2016, 850 on May 30, 2017 and 850 on May 30, 2018.
- (9) This option was granted on May 28, 2015 and is exercisable in four equal amounts as follows: 713 on May 28, 2016, 713 on May 30, 2017, 713 on May 29, 2018 and 713 on May 28, 2019.
- (10) This option was granted on June 1, 2016 and is exercisable in four equal amounts as follows: 650 on June 1, 2017, 650 on June 1, 2018, 650 on June 3, 2019 and 650 on June 1, 2020.

^

### Remarks:

Vice^ President,^ Controller^ and^ Chief^ Accounting^ Officer

### Exhibit^ 24^ -^ Power^ of^ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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