

AGCO CORP /DE
Form 4
February 13, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Smith Lucinda B

(Last) (First) (Middle)

AGCO CORPORATION, 4205
RIVER GREEN PARKWAY

(Street)

DULUTH, GA 30096-2584

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AGCO CORP /DE [AGCO]

3. Date of Earliest Transaction
(Month/Day/Year)
02/09/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Sr VP Global Business Service

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | | (A) or (D) | Price | | |
| Common Stock | 02/09/2017 | | F | 589 | D \$ 64.32 | 31,601 | D |
| Common Stock | 02/10/2017 | | M | 3,500 | A \$ 52.29 | 35,101 | D |
| Common Stock | 02/10/2017 | | M | 5,400 | A \$ 52.94 | 40,501 | D |
| Common Stock | 02/10/2017 | | M | 5,700 | A \$ 51.84 | 46,201 | D |
| Common Stock | 02/10/2017 | | M | 4,050 | A \$ 55.23 | 50,251 | D |

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| | | | | | | | |
|--------------|------------|---|--------|---|----------|--------|---|
| Common Stock | 02/10/2017 | M | 3,350 | A | \$ 43.88 | 53,601 | D |
| Common Stock | 02/10/2017 | M | 1,525 | A | \$ 46.58 | 55,126 | D |
| Common Stock | 02/10/2017 | F | 20,976 | D | \$ 64.74 | 34,150 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|-------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | |
| Stock Appreciation Right | \$ 52.29 | 02/10/2017 | | M | 3,500 | <u>(1)</u> | 01/26/2018 | Common Stock | 3,500 |
| Stock Appreciation Right | \$ 52.94 | 02/10/2017 | | M | 5,400 | <u>(2)</u> | 01/25/2019 | Common Stock | 5,400 |
| Stock Appreciation Right | \$ 51.84 | 02/10/2017 | | M | 5,700 | <u>(3)</u> | 01/23/2020 | Common Stock | 5,700 |
| Stock Appreciation Right | \$ 55.23 | 02/10/2017 | | M | 4,050 | <u>(4)</u> | 01/22/2021 | Common Stock | 4,050 |
| Stock Appreciation Right | \$ 43.88 | 02/10/2017 | | M | 3,350 | <u>(5)</u> | 01/21/2022 | Common Stock | 3,350 |
| Stock Appreciation Right | \$ 46.58 | 02/10/2017 | | M | 1,525 | <u>(6)</u> | 01/26/2023 | Common Stock | 1,525 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Smith Lucinda B AGCO CORPORATION 4205 RIVER GREEN PARKWAY DULUTH, GA 30096-2584 | | | Sr VP Global Business Service | |

Signatures

Lynnette D. Schoenfeld
Attorney-in-fact

02/13/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable in four equal annual installments beginning January 26, 2012.
 - (2) Exercisable in four equal annual installments beginning January 25, 2013.
 - (3) Exercisable in four equal annual installments beginning January 23, 2014.
 - (4) Exercisable in four equal annual installments beginning January 22, 2015.
 - (5) Exercisable in four equal annual installments beginning January 21, 2016.
 - (6) Exercisable in four equal annual installments beginning January 26, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.