Edgar Filing: SCOTT SAMUEL C III - Form 4

SCOTT SA Form 4	MUEL C III									
November 1	13, 2017									
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB APPROVAL			
	Washington, D.C. 20549						OMMISSION	OMB Number:	3235-0287	
Section 16.				ANGES IN BENEFICIAL OWNERSHIP OF SECURITIES				Expires:	January 31, 2005	
								Estimated average burden hours per		
Form 4 Form 5	Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,						Act of 1934	response	0.5	
obligatio may cor <i>See</i> Inst 1(b).	ons Section 170	(a) of the P	ublic U	tility Hol		npan	y Act of	1935 or Section	I	
(Print or Type	Responses)									
SCOTT SAMUEL C III Symbol				er Name and Ticker or Trading			5. Relationship of Reporting Person(s) to Issuer			
				of New York Mellon Corp				(Check all applicable)		
(Mor			(Month/I	Date of Earliest Transaction /onth/Day/Year) 1/09/2017			_X_Director10% Owner Officer (give titleOther (specify below) below)			
(Street) 4. If Ame										
			mendment, Date Original /onth/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
NEW YOR	RK, NY 10286							Form filed by Me Person	ore than One Re	porting
(City)	(State)	(Zip)	Tab	le I - Non-J	Derivative	Secu	rities Acqu	uired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution I any (Month/Day/Year)		Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	OwnershipIndirForm:BeneDirect (D)Ownor Indirect(Inst	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	11/09/2017			А	78.331 (1)	Α	\$ 48.3692	2 19,827.114	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SCOTT SAMUEL C III 225 LIBERTY STREET NEW YORK, NY 10286	Х						
Signatures							
/s/ Craig T. Beazer, Attorney-in-Fact	11/13/2017						
<u>**</u> Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dividend reinvestment in Stock Account of Deferred Compensation Plan for Non-Employee Directors of The Bank of New York Company, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.