### Edgar Filing: Herberts Curt A. III - Form 4

Form 4											
January 04, 2										PROVAL	
FORM	4 UNITED	STATES					NGE C	OMMISSION	OMB OMB Number:	3235-0287	
Check thi if no long subject to Section 10 Form 4 or Form 5	er <b>STATEM</b> 6. Filed pure	x Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,									
obligation may conti <i>See</i> Instru 1(b).	inue. Section 17(a			ility Hold vestment	•	· ·		1935 or Section 0	1		
(Print or Type R	Responses)										
Herberts Curt A. III Symbol			r Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
SAN [SGN				MO THE ]	ERAPEU	TIC	S, INC	(Check all applicable)			
INC., POIN	AMO THERAPE Γ RICHMOND 1		3. Date of (Month/D 01/02/20	•	ansaction			Director X Officer (give below) Sr.VP & Cl		Owner er (specify Officer	
				ndment, Date Original hth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
		( <b>7</b> in)						Person			
1.Title of Security (Instr. 3)	1. Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any3.(Instr. 3)anyCode				4. Securi	ties A spose 4 and	cquired d of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Stock	01/02/2018			М	782	А	\$ 9.41	13,015	D		
Common Stock	01/02/2018			М	209	А	\$ 8.87	13,224	D		
Common Stock	01/02/2018			S <u>(1)</u>	6,974	D	\$ 16.35	6,250	D		
Common Stock	01/03/2018			М	8,026	А	\$ 12.12	14,276	D		
	01/03/2018			<b>S</b> (1)	8,026	D		6,250	D		

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Common Stock

#### \$ 18.05

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1474 Persons who respond to the collection of information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	onof Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. H Der Sec (In:
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 9.41	01/02/2018		М		782	(2)	12/07/2025	Common Stock	782	
Stock Option (Right to Buy)	\$ 8.87	01/02/2018		М		209	(3)	07/26/2025	Common Stock	209	
Stock Option (Right to Buy)	\$ 12.12	01/03/2018		М		8,026	<u>(4)</u>	12/11/2023	Common Stock	8,026	

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
Herberts Curt A. III C/O SANGAMO THERAPEUTICS, INC. POINT RICHMOND TECH CTR, 501 CANAL BLVD. RICHMOND, CA 94804			Sr.VP & Chief Business Officer				

# Signatures

/s/ Denise Winn, Attorney-in-Fact 01/04/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 22, 2017.

One-quarter (1/4) of the option shares vested and became exercisable upon completion of one (1) year of service by the Reporting Person measured from the December 8, 2015 grant date, and the remainder will vest and become exercisable in equal monthly installments for thirty-six (36) months thereafter, provided that the Reporting Person remains in service with the Issuer through each such monthly vesting

date. One-quarter (1/4) of the option shares vested and became exercisable upon completion of one (1) year of service by the Reporting Person measured from the July 27, 2015 grant date, and the remainder will vest and become exercisable in equal monthly installments for

- (3) Inclustree from the stary 27, 2015 grant date, and the remainder with vest and become exercisable in equal monthly installinents for thirty-six (36) months thereafter, provided that the Reporting Person remains in service with the Issuer through each such monthly vesting date.
- (4) Fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.