

Alfred E. Mann Living Trust  
 Form 5  
 February 14, 2018

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
 Alfred E. Mann Living Trust

2. Issuer Name and Ticker or Trading Symbol  
 MANNKIND CORP [MNKD]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 12744 SAN FERNANDO ROAD  
 (Street)  
 SYLMAR, CA 91342

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
 12/31/2017

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
 \_\_\_ Officer (give title below) \_\_\_ Other (specify below)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting (check applicable line)

\_\_\_ Form Filed by One Reporting Person  
 \_X\_ Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) Amount or (D) Price			
Common Stock	02/27/2017	Â	G	14,500 <sup>(1)</sup> D \$ 0	3,654,553 <sup>(1)</sup>	D	Â
Common Stock	02/27/2017	Â	G	811,000 <sup>(1)</sup> D \$ 0	2,843,553 <sup>(1)</sup>	D	Â
Common Stock	03/15/2017	Â	G	69,418 D \$ 0	2,774,135	D	Â
Common Stock	03/16/2017	Â	G	5,000 D \$ 0	2,769,135	D	Â
Common Stock	03/31/2017	Â	G	80,028 D \$ 0	2,689,107	D	Â

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Common Stock	04/03/2017	Â	G	300,000	D	\$ 0	2,389,107	D	Â
Common Stock	04/04/2017	Â	G	195,158	D	\$ 0	2,193,949	D	Â
Common Stock	04/05/2017	Â	G	188,784	D	\$ 0	2,005,165	D	Â
Common Stock	04/06/2017	Â	G	233,922	D	\$ 0	1,771,243	D	Â
Common Stock	04/07/2017	Â	G	600,000	D	\$ 0	1,171,243	D	Â
Common Stock	04/10/2017	Â	G	250,548	D	\$ 0	920,695	D	Â
Common Stock	04/11/2017	Â	G	300,000	D	\$ 0	620,695	D	Â
Common Stock	04/12/2017	Â	G	160,525	D	\$ 0	460,170	D	Â
Common Stock	04/13/2017	Â	G	133,332	D	\$ 0	326,838	D	Â
Common Stock	04/17/2017	Â	G	142,280	D	\$ 0	184,558	D	Â
Common Stock	04/17/2017	Â	G	60,011	D	\$ 0	17,870,439	I	See footnote (2)
Common Stock	04/18/2017	Â	G	102,537	D	\$ 0	17,767,902	I	See footnote (2)
Common Stock	04/19/2017	Â	G	300,000	D	\$ 0	17,467,902	I	See footnote (2)
Common Stock	04/20/2017	Â	G	280,300	D	\$ 0	17,187,602	I	See footnote (2)
Common Stock	04/21/2017	Â	G	473,727	D	\$ 0	16,713,875	I	See footnote (2)
Common Stock	04/26/2017	Â	G	1,000,000	D	\$ 0	15,713,875	I	See footnote (2)
Common Stock	05/09/2017	Â	G	1,000,000	D	\$ 0	14,713,875	I	See footnote (2)
	05/15/2017	Â	G	1,000,000	D	\$ 0	13,713,875	I	

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Common Stock									See footnote (2)
Common Stock	05/16/2017	Â	G	1,000,000	D	\$ 0	12,713,875	I	See footnote (2)
Common Stock	05/19/2017	Â	G	1,000,000	D	\$ 0	11,713,875	I	See footnote (2)
Common Stock	05/24/2017	Â	G	1,000,000	D	\$ 0	10,713,875	I	See footnote (2)
Common Stock	05/30/2017	Â	G	1,000,000	D	\$ 0	9,713,875	I	See footnote (2)
Common Stock	06/02/2017	Â	G	1,000,000	D	\$ 0	8,713,875	I	See footnote (2)
Common Stock	06/13/2017	Â	G	50,000	D	\$ 0	8,663,875	I	See footnote (2)
Common Stock	06/14/2017	Â	G	41,933	D	\$ 0	8,621,942	I	See footnote (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of Derivative Security (Instr. 5)
					(A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Alfred E. Mann Living Trust 12744 SAN FERNANDO ROAD SYLMAR, CA 91342	^	^ X	^	^
Mann Group, LLC 12744 SAN FERNANDO ROAD SYLMAR, CA 91342	^	^ X	^	^

## Signatures

/s/ Anoosheh Bostani and /s/ Michael S. Dreyer, Trustees of the Alfred E. Mann Living Trust	02/14/2018
**Signature of Reporting Person	Date
/s/ Anoosheh Bostani and /s/ Michael S. Dreyer, Trustees of the Alfred E. Mann Living Trust, Manager of Mann Group, LLC	02/14/2018
**Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Share amounts have been adjusted to reflect the 1-for-5 reverse stock split effected by the Issuer on March 2, 2017.
- (2) Held of record by Mann Group, LLC. The Alfred E. Mann Living Trust is the sole manager and member of Mann Group, LLC.

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### Remarks:

Shares^ disposed^ of^ by^ the^ reporting^ persons^ during^ the^ one^ year^ period^ following^ the^ death^ of^ Alf

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