### Edgar Filing: BERKSHIRE HATHAWAY INC - Form 3

#### BERKSHIRE HATHAWAY INC

Form 3

August 06, 2018

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Axalta Coating Systems Ltd. [AXTA] BERKSHIRE HATHAWAY (Month/Day/Year) **INC** 07/26/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3555 FARNAM STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director \_\_X\_\_ 10% Owner Form filed by One Reporting Officer Other Person (give title below) (specify below) OMAHA, NEÂ 68131 \_X\_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) See Footnotes (1) (2) (3) Common Stock 24,264,000 I Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Security	Direct (D)	

#### Edgar Filing: BERKSHIRE HATHAWAY INC - Form 3

Date	Expiration	Amount or	or Indirect
Exercisable	Date	Number of	(I)
		Shares	(Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other	
BERKSHIRE HATHAWAY INC 3555 FARNAM STREET OMAHA, NE 68131	Â	ÂX	Â	Â	
BUFFETT WARREN E 3555 FARNAM STREET OMAHA, NE 68131	Â	ÂX	Â	Â	

### **Signatures**

/s/ Warren E. Buffett, on behalf of himself and each other reporting person hereunder

08/06/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - 20,940,000 of the total reported securities are owned by the following subsidiaries of Berkshire Hathaway Inc. ("Berkshire"): National Indemnity Company (393,043), Government Employees Insurance Company (20,000,000) and GEICO Advantage Insurance Company (546,957). In order to avoid double counting, shares of Common Stock reported as being owned by each of these subsidiaries only
- (1) reflect shares that are owned directly by such subsidiary, and do not reflect any shares that such subsidiary may be deemed to beneficially own by virtue of ownership or control of any other subsidiary otherwise reported in this Form 3. As Berkshire is in the chain of ownership of each subsidiary listed above, it may be deemed presently to both beneficially own and have a pecuniary interest in all shares of Common Stock presently directly owned by such subsidiaries.
- Continued from footnote 1: Warren E. Buffett, as the controlling stockholder of Berkshire, may be deemed presently to beneficially own, but only to the extent he has a pecuniary interest in, the shares of Common Stock presently owned by each of these subsidiaries. Mr. Buffett disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
  - 3,324,000 of the total reported securities are owned directly by the following pension plans of Berkshire and Berkshire's subsidiaries: Berkshire Hathaway Consolidated Pension Plan (700,000), Acme Brick Company Pension Trust (57,000), BNSF Master Retirement
- (3) Trust (373,000), Buffalo News Drivers/Distributors Pension Plan (10,000), Buffalo News Editorial Pension Plan (165,000), Buffalo News Mechanical Pension Plan (19,000) and Lubrizol Corporation Master Trust Pension (2,000,000). Each of Berkshire, Mr. Buffett and these pension plans disclaim beneficial ownership in such shares.



#### Remarks:

The beneficial ownership of the shares of Common Stock reported herein exceeds 10% as a resultÂ

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2