Ketchum Steven B Form 4 September 25, 2018

# FORM 4

Check this box

if no longer

Section 16.

Form 4 or

subject to

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

**OMB APPROVAL** 

Number: Expires:

3235-0287 January 31,

2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** Ketchum Steven B |                   |             | 2. Issue<br>Symbol | r Name <b>an</b> | d Ticker or Trading       | 5. Relationship of Reporting Person(s) to Issuer                                |                            |            |  |  |
|---|-------------------|-------------|--------------------|------------------|---------------------------|---|----------------------------|------------|--|--|
|   |                   |             |                    |                  | P PLC\UK [AMRN]           | (Check all applicable)  |                            |            |  |  |
| (Last)  | (First)           | (Middle)    | 3. Date o          | f Earliest 7     | Transaction               |   |                            |            |  |  |
|   |                   |             | (Month/I           | Day/Year)        |                           | Director  | 10%                        |            |  |  |
| C/O AMARIN PHARMA,  |                   |             | 09/22/2            | 2018             |                           | _X_ Officer (give title Other (specify  |                            |            |  |  |
| INC., 1430 ROUTE 206  |                   |             |                    |                  |                           | below) Chief  | below)<br>Scientific Offic | er         |  |  |
| (Street)  |                   |             | 4. If Ame          | endment, D       | Date Original             | 6. Individual or Joint/Group Filing(Check                                       |                            |            |  |  |
|   |                   | Filed(Mo    | nth/Day/Ye         | ar)              | Applicable Line)          |   |                            |            |  |  |
| BEDMINSTER, NJ 07921  |                   |             |                    |                  |                           | _X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting |                            |            |  |  |
| PEDMINS   | 1EK, NJ 0/921     |             |                    |                  |                           | Person  |                            |            |  |  |
| (City)  | (State)           | (Zip)       | Tab                | le I - Non-      | Derivative Securities Acq | quired, Disposed o  | f, or Beneficial           | ly Owned   |  |  |
| 1.Title of  | 2. Transaction Da | te 2A. Deei | med                | 3.               | 4. Securities Acquired    | 5. Amount of  | 6. Ownership               | 7. Nature  |  |  |
| Security  | (Month/Day/Year   | ) Executio  | n Date, if         | Transact         | ion(A) or Disposed of (D) | Securities  | Form: Direct               | Indirect   |  |  |
| (Instr. 3)  |                   | any         |                    | Code             | (Instr. 3, 4 and 5)       | Beneficially  | (D) or                     | Beneficial |  |  |

e of al (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership

> Reported (A) Transaction(s) or (Instr. 3 and 4)

Following

(Instr. 4)

(Instr. 4)

Code V Amount (D) Price Ordinary 199,500

09/22/2018 A A (3) 400,473  $D^{(4)}$ (2)Shares (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Ketchum Steven B - Form 4

|  | 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc   | cisable and | 7. Titl        | le and   | 8. Price of | 9. Nu  |
|--|-------------|-------------|---------------------|--------------------|-------------|------------|-----------------|-------------|----------------|----------|-------------|--------|
|  | Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration D    | ate         | Amou           | int of   | Derivative  | Deriv  |
|  | Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/     | Year)       | Under          | lying    | Security    | Secui  |
|  | (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e               |             | Secur          | ities    | (Instr. 5)  | Bene   |
|  |             | Derivative  |                     |                    |             | Securities | ;               |             | (Instr.        | 3 and 4) |             | Own    |
|  |             | Security    |                     |                    |             | Acquired   |                 |             |                |          |             | Follo  |
|  |             |             |                     |                    |             | (A) or     |                 |             |                |          |             | Repo   |
|  |             |             |                     |                    |             | Disposed   |                 |             |                |          |             | Trans  |
|  |             |             |                     |                    |             | of (D)     |                 |             |                |          |             | (Instr |
|  |             |             |                     |                    |             | (Instr. 3, |                 |             |                |          |             |        |
|  |             |             |                     |                    |             | 4, and 5)  |                 |             |                |          |             |        |
|  |             |             |                     |                    |             |            |                 |             |                | A 4      |             |        |
|  |             |             |                     |                    |             |            |                 |             |                | Amount   |             |        |
|  |             |             |                     |                    |             |            | Expiration Date | Title 1     | or<br>Namelana |          |             |        |
|  |             |             |                     |                    |             |            |                 |             | Number         |          |             |        |
|  |             |             |                     | C + V              | (A) (D)     |            |                 |             | of             |          |             |        |
|  |             |             |                     |                    | Code V      | (A) $(D)$  |                 |             |                | Shares   |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Ketchum Steven B C/O AMARIN PHARMA, INC. 1430 ROUTE 206 BEDMINSTER, NJ 07921

Chief Scientific Officer

### **Signatures**

/s/ Joseph Kennedy, by power of attorney

09/25/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- **(1)** The Ordinary Shares may be represented by American Depositary Shares, each of which currently represents one Ordinary Share.
  - On February 2, 2015, the Reporting Person was granted 399,000 restricted stock units (the "RSUs") under the Amarin Corporation plc 2011 Stock Incentive Plan (the "Plan"). Each RSU represents a contingent right to receive one Ordinary Share or cash in lieu thereof at
- **(2)** the Issuer's discretion. The RSUs vest in two equal installments based on the Issuer's satisfaction of certain performance criteria and continued employment of the Reporting Person as provided in the Restricted Stock Unit Award Agreement between the Issuer and the Reporting Person. The performance criteria for the first installment was met, resulting in vesting of 199,500 RSUs.
- **(3)** Not applicable.
- Please see the section titled "Remarks" below for additional information. **(4)**

#### **Remarks:**

As of the date of this Form 4, the Reporting Person owns or holds the right to acquire an aggregate of 2,756,882 Ordinary Sha Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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