

ROTUNDA JOSEPH L

Form 4

November 15, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
ROTUNDA JOSEPH L

(Last) (First) (Middle)

2500 BEE CAVE RD, BLDG. 1,
SUITE 200

(Street)

ROLLINGWOOD, TX 78746

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
EZCORP INC [EZPW]

3. Date of Earliest Transaction
(Month/Day/Year)
11/13/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)

Chief Operating Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Non-Voting Common Stock	11/13/2018		M ⁽¹⁾	71,313 A	\$ 9.12 825,760	D	
Class A Non-Voting Common Stock	11/13/2018		M ⁽¹⁾	17,600 A	\$ 9.12 843,360	D	
Class A Non-Voting Common Stock	11/13/2018		F ⁽²⁾	26,636 D	\$ 9.12 816,724	D	

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Class A
Non-Voting
Common
Stock

11/13/2018

F⁽²⁾ 6,574 D \$ 9.12 810,150 ⁽³⁾ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 9.12	11/13/2018		M ⁽¹⁾		71,313		⁽⁴⁾	⁽⁴⁾	Class A Non-Voting Common Stock	71,313
Restricted Stock Units	\$ 9.12	11/13/2018		M ⁽¹⁾		17,600		⁽⁴⁾	⁽⁴⁾	Class A Non-Voting Common Stock	17,600
Restricted Stock Units	\$ 9.12	11/13/2018		J ⁽⁵⁾		17,828		⁽⁴⁾	⁽⁴⁾	Class A Non-Voting Common Stock	17,828

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
ROTUNDA JOSEPH L 2500 BEE CAVE RD, BLDG. 1, SUITE 200 ROLLINGWOOD, TX 78746	Chief Operating Officer

Signatures

/s/ Carrie Putnam, attorney
in fact

11/15/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis.
- (2) Represents shares withheld to cover tax liability associated with the vesting of restricted stock units.
- (3) The Total Non-Derivative Securities Beneficially Owned includes 9,880 unvested Restricted Stock Awards.
- (4) The units vested on November 13, 2018 after specified performance goals were achieved.
- (5) These units were forfeited on November 13, 2018 due to failure to achieve performance goals.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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