

Berg Aaron
Form 3
March 20, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *	2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol
Â Berg Aaron	(Month/Day/Year)	AMARIN CORP PLC\UK [AMRN]
(Last) (First) (Middle)	03/11/2019	
	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
C/O AMARIN PHARMA, INC.,Â 1430 ROUTE 206	(Check all applicable)	
(Street)	☐ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below)	6. Individual or Joint/Group Filing(Check Applicable Line)
BEDMINSTER,Â NJÂ 07921	CHIEF COMMERCIAL OFFICER	☒ Form filed by One Reporting Person
(City) (State) (Zip)		☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Ordinary Shares ⁽¹⁾	36,351	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) Title	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	---	---	--	---	--

Edgar Filing: Berg Aaron - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units ⁽²⁾	Â ⁽³⁾	Â ⁽⁴⁾	Ordinary Shares ⁽¹⁾	29,000	\$ 0	D	Â
Restricted Stock Units ⁽²⁾	Â ⁽⁵⁾	Â ⁽⁴⁾	Ordinary Shares ⁽¹⁾	78,000	\$ 0	D	Â
Restricted Stock Units ⁽²⁾	Â ⁽⁶⁾	Â ⁽⁴⁾	Ordinary Shares ⁽¹⁾	38,200	\$ 0	D	Â
Stock Option (Right to Buy)	Â ⁽⁷⁾	12/02/2022	Ordinary Shares ⁽¹⁾	43,253	\$ 12.6	D	Â
Stock Option (Right to Buy)	Â ⁽⁸⁾	02/02/2025	Ordinary Shares ⁽¹⁾	3,906	\$ 1.02	D	Â
Stock Option (Right to Buy)	Â ⁽⁹⁾	07/06/2025	Ordinary Shares ⁽¹⁾	219,562	\$ 2.5	D	Â
Stock Option (Right to Buy)	Â ⁽¹⁰⁾	02/01/2026	Ordinary Shares ⁽¹⁾	36,458	\$ 1.4	D	Â
Stock Option (Right to Buy)	Â ⁽¹¹⁾	02/01/2027	Ordinary Shares ⁽¹⁾	69,270	\$ 2.95	D	Â
Stock Option (Right to Buy)	Â ⁽¹²⁾	05/01/2028	Ordinary Shares ⁽¹⁾	117,000	\$ 2.8	D	Â
Stock Option (Right to Buy)	Â ⁽¹³⁾	02/01/2029	Ordinary Shares ⁽¹⁾	53,500	\$ 16.87	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Berg Aaron C/O AMARIN PHARMA, INC. 1430 ROUTE 206 BEDMINSTER, NJ 07921	Â	Â	Â CHIEF COMMERCIAL OFFICER	Â

Signatures

/s/ Joseph Kennedy, by power of
attorney

03/20/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Ordinary Shares may be represented by American Depositary Shares, each of which currently represents one Ordinary Share.
- (2) Each Restricted Stock Unit ("RSU") represents a contingent right to receive one Ordinary Share or cash in lieu thereof at the Issuer's discretion.

Edgar Filing: Berg Aaron - Form 3

- (3) On February 1, 2017, the Reporting Person was granted 87,000 RSUs under the Amarin Corporation plc 2011 Stock Incentive Plan (the "Plan"). These RSUs vest in three equal installments on each of January 31, 2018, January 31, 2019, and January 31, 2020.
- (4) Not applicable.
- (5) On May 1, 2018, the Reporting Person was granted 78,000 RSUs under the Plan. These RSUs vest in three equal installments on each of May 1, 2019, May 1, 2020, and May 1, 2021.
- (6) On February 1, 2019, the Reporting Person was granted 38,200 RSUs under the Plan. These RSUs vest in three equal installments on each of January 31, 2020, January 31, 2021, and January 31, 2022.
- (7) On December 3, 2012, the Reporting Person was granted an option to purchase 75,000 Ordinary Shares under the Plan. The shares subject to this option are fully vested as of the date hereof.
- (8) On February 2, 2015, the Reporting Person was granted an option to purchase 93,750 Ordinary Shares under the Plan. The shares subject to this option are fully vested as of the date hereof.
- (9) On July 6, 2015, the Reporting Person was granted an option to purchase 400,000 Ordinary Shares under the Plan. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month that began on July 31, 2015.
- (10) On February 1, 2016, the Reporting Person was granted an option to purchase 125,000 Ordinary Shares under the Plan. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month that began on February 28, 2016.
- (11) On February 1, 2017, the Reporting Person was granted an option to purchase 133,000 Ordinary Shares under the Plan. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month that began on February 28, 2017.
- (12) On May 1, 2018, the Reporting Person was granted an option to purchase 117,000 Ordinary Shares under the Plan. The shares subject to this option shall vest as follows: 25% of the total number of underlying shares shall vest on May 1, 2019, and the remaining 75% of the underlying shares shall vest ratably over the subsequent 36 months.
- (13) On February 1, 2019, the Reporting Person was granted an option to purchase 53,500 Ordinary Shares under the Plan. The shares subject to this option shall vest and become exercisable in 16 equal quarterly installments starting on May 15, 2019.

Â

Remarks:

PursuantÂ toÂ Amarin'sÂ expandedÂ commercialÂ efforts,Â theÂ company'sÂ BoardÂ ofÂ DirectorsÂ hasÂ deemedÂ Aar

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.