Schwichtenberg Kay Form 4 March 22, 2019

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number:

Check this box if no longer subject to Section 16.

Section 16.

Section 17.

Section 18.

Section 19.

Secti

Expires: January 31, 2005
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**OMB APPROVAL** 

3235-0287

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

Schwichtenberg Kay Issuer Symbol CENTRAL GARDEN & PET CO (Check all applicable) [CENT] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X\_ Officer (give title (Month/Day/Year) below) C/O CENTRAL GARDEN & PET 03/21/2019 **Executive Vice President** COMPANY, 1340 TREAT BLVD, **SUITE 600** 

2. Issuer Name and Ticker or Trading

(Street)
4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 \_X\_ Form filed by One Reporting Person
 \_ Form filed by More than One Reporting Person

5. Relationship of Reporting Person(s) to

#### WALNUT CREEK, CA 94597

1. Name and Address of Reporting Person \*

| (City)                               | (State)                              | (Zip) Tabl  | e I - Non-I                            | Derivative S                               | Securi | ties Acqu   | ired, Disposed of  | , or Beneficial  | y Owned   |
|--------------------------------------|--------------------------------------|---|--|--|--------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>on(A) or Dis<br>(Instr. 3, 4 | sposed | of (D)      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Class A<br>Common<br>Stock           | 03/21/2019                           |   | M                                      | 12,500                                     | A      | \$<br>13.82 | 30,988   | D  |   |
| Class A<br>Common<br>Stock           | 03/21/2019                           |   | F <u>(1)</u>                           | 9,263                                      | D      | \$<br>25.33 | 21,725   | D  |   |
| Units                                |                                      |   |  |  |        |             | 508.067  | I  | By 401(k)<br>Plan (2)   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|--|---|--|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock Option (Right to Buy)                         | \$ 13.82  | 03/21/2019                           |   | M                                      | 12,500  | (3)  | 01/29/2022         | Class A<br>Common<br>Stock  | 12,500                              |

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Schwichtenberg Kay C/O CENTRAL GARDEN & PET COMPANY 1340 TREAT BLVD, SUITE 600 WALNUT CREEK, CA 94597

**Executive Vice President** 

#### **Signatures**

/s/Kay

Schwichtenberg 03/22/2019

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares withheld by the Issuer in payment of the aggregate option exercise price and withholding tax liability incurred upon the
- (1) above-reported exercise of options. The amount of shares withheld is based on the respective average of the high and low sales prices on the date of exercise.
- (2) The units represent interests in the CENTA Stock Fund in the Issuer's 401(k) Plan, which consist of shares of CENTA stock and cash.
- (3) Options were granted on January 29, 2016, of which 37,500 have vested and been exercised; and the remaining 12,500 shares will vest and be exercisable on January 29, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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