

IKONICS CORP
Form 4
December 04, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
NERGES JOSEPH R

(Last) (First) (Middle)
1726 BUNDY ST

(Street)

SCRANTON, PA 18508

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
IKONICS CORP [IKNX]

3. Date of Earliest Transaction (Month/Day/Year)
12/02/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
____ Officer (give title below) _____ Other (specify below)

Confidentiality agreement

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	12/02/2013		P		1,500 A \$ 14.86	410,495	D
Common Stock	12/02/2013		P		1,500 A \$ 14.875	411,995	D
Common Stock	12/02/2013		P		169 A \$ 14.83	412,164	D
Common Stock	12/02/2013		P		300 A \$ 14.82	412,464	D
Common Stock	12/02/2013		P		208 A \$ 14.7	412,672	D

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Common Stock	12/02/2013	P	523	A	\$ 14.835	413,195	D
Common Stock	12/02/2013	P	300	A	\$ 14.86	413,495	D
Common Stock	12/04/2013	P	200	A	\$ 14.43	413,695	D
Common Stock	12/04/2013	P	784	A	\$ 14.44	414,479	D
Common Stock	12/04/2013	P	516	A	\$ 14.45	414,995	D
Common Stock	12/04/2013	P	100	A	\$ 14.44	415,095	D
Common Stock	12/04/2013	P	400	A	\$ 14.69	415,495	D
Common Stock	12/04/2013	P	500	A	\$ 14.75	415,995	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
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Date Exercisable Expiration Date Title Amount or Number of Shares

Code V (A) (D)

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

NERGES JOSEPH R
1726 BUNDY ST
SCRANTON, PA 18508

Confidentiality agreement

Signatures

/s/ Joseph R.
Nerges

12/04/2013

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

Entered into confidentiality agreement with Ikonics on March 11, 2013

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.