NORDSON CORP Form 4

November 25, 2015

# FORM 4

Check this box

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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if no longer subject to Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * BLOOMFIELD DOUGLAS C			2. Issuer Name and Ticker or Trading Symbol NORDSON CORP [NDSN]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	()			
28601 CLEMENS ROAD			(Month/Day/Year) 11/23/2015	Director 10% Owner Officer (give title Other (specify below)  Vice President			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
WESTLAKE, OH 44145			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tabl	le I - No	on-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code		4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	11/23/2015		A	V	278	A	\$0	47,715	D (1)	
Common Stock	11/23/2015		A		1,500	A	\$0	49,215	D (2)	
Common Stock	11/24/2015		F		188	D	\$ 71.23	49,099	D (3)	
Common Stock								3,208	I	By Company ESOP Plan
								222	I	

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Common Stock		By Company Savings Plan (5)
Reminder: Report on a separate line for each class of securities benefic	ially owned directly or indirectly.	
	Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.	SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	iorD So A or (I (I	orDerivative I		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	,	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (right to buy)	\$ 43.32							<u>(6)</u>	12/07/2020	Common Stock	9,400
Employee Stock Options (right to buy)	\$ 43.73							<u>(7)</u>	11/28/2021	Common Stock	10,000
Employee Stock Options (right to buy)	\$ 61.59							(8)	11/28/2022	Common Stock	7,600
Employee Stock Options (right to buy)	\$ 71.75							<u>(9)</u>	11/25/2023	Common Stock	7,300
Employee Stock Options	\$ 79.66							(10)	11/24/2024	Common Stock	7,900

(right to buy)

**Employee** 

Stock

Common (11)11/23/2025 **Options** \$ 70.91 11/23/2015 Α 11,700 11,700 Stock (right to

buy)

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

BLOOMFIELD DOUGLAS C 28601 CLEMENS ROAD WESTLAKE, OH 44145

Vice President

## **Signatures**

Robert E. Veillette, Attorney-In-Fact

11/25/2015

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Share equivalent units acquired through dividend payments. Share equivalent units are settled in common shares on a one-to-one basis at reporting person's termination of employment or retirement subject to delayed distribution rules of Internal Revenue Code Section

- **(1)** 409(A). The holdings are net of shares previously withheld, or sold under a Rule 10b5-1 trading plan, to cover withholding taxes, and include 29,694 shares underlying performance share units that have vested and share equivalent units that were previously reported in Table II.
- On November 23, 2015, the Company awarded 1,500 restricted shares under the Company's 2012 Stock Incentive and Award Plan. **(2)** One-third of the restricted shares will vest on each of November 23, 2016, 2017, and 2018.

On November 24, 2014, the Company awarded 1,200 restricted shares under the Company's 2012 Stock Incentive and Award Plan. One-third of the restricted shares vested November 24, 2015, and one-third will vest November 24, on each of 2016 and 2017. 188 of the

- 400 shares that vested November 24, 2015 were withheld to cover withholding taxes due upon vesting. The holdings include 333 shares acquired through participation in the Company's Dividend Reinvestment Plan, and are net of shares previously withheld, or sold under a Rule 10b5-1 trading plan, to cover withholding taxes.
- Represents the number of shares attributable to the reporting person's participation in the Company's Employee Stock Ownership Plan, **(4)** exempt pursuant to Rule 16b-3(c). The reporting person disclaims beneficial ownership of these securities.
- Represents the number of shares attributable to the reporting person's participation in the Company's Savings Plan, exempt pursuant to **(5)** Rule 16b-3(c). The reporting person disclaims beneficial ownership of these securities.
- **(6)** All such options have fully vested.
- On November 28, 2011, the Company awarded 10,000 stock options under the Company's 2012 Stock Incentive and Award Plan. The **(7)** options vest in 4 equal installments beginning on November 28, 2012. The vested portions of such options will become exercisable upon
- On November 28, 2012, the Company awarded 7,600 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 28, 2013. The vested portions of such options will become exercisable upon vesting.

(9)

Reporting Owners 3

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On November 25, 2013, the Company awarded 7,300 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 25, 2014. The vested portions of such options will become exercisable upon vesting.

- On November 24, 2014, the Company awarded 7,900 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 24, 2015. The vested portions of such options will become exercisable upon vesting.
- On November 23, 2015, the Company awarded 11,700 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 23, 2016. The vested portions of such options will become exercisable upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.