NORDSON CORP Form 4

January 06, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

Persons who respond to the collection of

information contained in this form are not

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January 31, 2005

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Symbol

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

NORDSON CORP [NDSN]

1(b).

(Print or Type Responses)

KEANE JOHN J

1. Name and Address of Reporting Person *

may continue.

See Instruction

					_	_		(Chec	ck all applicabl	e)	
(Last)	(First)	(Middle)	3. Date of	f Earliest Tr	ansaction						
			(Month/D	ay/Year)				Director		6 Owner	
28601 CLE	MENS ROAD		01/04/2	1/04/2016				Officer (give	er (specify		
								below)	below) or Vice Presider	nt	
								Scine	or vice rieside.	ii.	
	(Street)		4. If Ame	mendment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed(Month/Day/Year)						Applicable Line)					
								X Form filed by	1 0		
WESTLAKE, OH 44145				_ P				Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tr. 1.1	. T. N D		C	·•••		e De	U- O1	
(- 4)	()	(1)	Tab	le I - Non-D	erivative	Secui	rities Acq	uired, Disposed o	i, or Beneficia	lly Ownea	
1.Title of	2. Transaction Da			3.	4. Securi			5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year		n Date, if	Transactio		•		Securities	Ownership	Indirect	
(Instr. 3)		any	N /57	Code	(Instr. 3,	4 and	5)	Beneficially	Form: Direct	Beneficial	
		(Month/D	Day/ Year)	(Instr. 8)				Owned Following	(D) or Indirect (I)	Ownership (Instr. 4)	
								Reported	(Instr. 4)	(IIIsu: +)	
						(A)		Transaction(s)	(Instr. 1)		
				C 1 17		or	D.:	(Instr. 3 and 4)			
C				Code V	Amount	(D)	Price				
Common Stock	01/04/2016			F	1,728	D	\$ 63.35	48,659	D (1)		
Stock							05.55				
										By	
Common								070	-	Company	
Stock								872	I	ESOP Plan	
										(2)	
										_	

SEC 1474

(9-02)

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	or Number of Shares	
Employee Stock Options (right to buy)	\$ 26.46					<u>(3)</u>	12/05/2017	Common Stock	3,780	
Employee Stock Options (right to buy)	\$ 26.46					<u>(3)</u>	12/05/2017	Common Stock	3,120	
Employee Stock Options (right to buy)	\$ 27.26					(3)	12/03/2019	Common Stock	23,600	
Employee Stock Options (right to buy)	\$ 43.32					(3)	12/07/2020	Common Stock	16,000	
Employee Stock Options (right to buy)	\$ 43.73					(3)	11/28/2021	Common Stock	16,000	
Employee Stock Options (right to buy)	\$ 61.59					<u>(4)</u>	11/28/2022	Common Stock	11,900	
Employee Stock	\$ 71.75					(5)	11/25/2023	Common Stock	11,500	

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Options (right to buy)					
Employee Stock Options (right to buy)	\$ 79.66	<u>(6)</u>	11/24/2024	Common Stock	12,500
Employee Stock Options (right to buy)	\$ 70.91	<u>(7)</u>	11/23/2025	Common Stock	17,200

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KEANE JOHN J 28601 CLEMENS ROAD WESTLAKE, OH 44145

Senior Vice President

Signatures

Robert E. Veillette, Attorney-In-Fact

01/06/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares withheld to cover withholding taxes due upon settlement of the performance share units that were reported on December 1, 2015.
- (1) The ultimate number of shares earned was subject to adjustment based on actual performance. Including a downward adjustment of 9 shares as a result of actual performance achieved, 5,069 earned shares settled on January 4, 2016. The holdings are net of shares previously withheld or sold to cover withholding taxes.
- (2) Represents the number of shares attributable to the reporting person's participation in the Company's Employee Stock Ownership Plan, exempt pursuant to Rule 16b-3(c). The reporting person disclaims beneficial ownership of these securities.
- (3) All such options have fully vested.
- On November 28, 2012, the Company awarded 11,900 stock options under the Company's Long-Term Performance Plan. The options (4) vest in 4 equal annual installments beginning on November 28, 2013. The vested portions of such options will become exercisable upon vesting.
- On November 25, 2013, the Company awarded 11,500 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 25, 2014. The vested portions of such options will become exercisable upon vesting.
- On November 24, 2014, the Company awarded 12,500 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 24, 2015. The vested portions of such options will become exercisable upon vesting.

(7)

Reporting Owners 3

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On November 23, 2015, the Company awarded 17,200 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 23, 2016. The vested portions of such options will become exercisable upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.