NORDSON CORP Form 4

June 09, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

Estimated average

See Instruction 1(b).

(Print or Type Responses)

1. Name and A DeVries Jan	Symbo		d Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First)	(Middle) 3. Date	of Earliest	Γransaction	(Clie	ск ан аррисаот	c)
28601 CLE	EMENS ROAD	(Month 06/08,	/Day/Year) /2016		below)	e title Oth below) /ice President	
	4. If Ar	nendment, [Date Original	6. Individual or Joint/Group Filing(Check			
WESTLAK	КЕ, ОН 44145	Filed(N	Ionth/Day/Ye	ar)	Applicable Line) _X_ Form filed by Form filed by Person	1 0	
(City)	(State)	(Zip) Ta	ble I - Non-	Derivative Securities Acc	quired, Disposed o	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	te 2A. Deemed) Execution Date, it any (Month/Day/Year	Code	4. Securities Acquired ion(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership

1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired		5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transactio	ion(A) or Disposed of (D)		Securities	Ownership	Indirect	
(Instr. 3)		any	Code	(Instr. 3,	(Instr. 3, 4 and 5)		Beneficially	Form: Direct	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	(D) or	Ownership
		•					Following	Indirect (I)	(Instr. 4)
					(4)		Reported	(Instr. 4)	
					(A)		Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	06/08/2016		M	5,000	A	\$ 24.46	37,393	D	
Common Stock	06/08/2016		S	5,000	D	\$ 88.02 (1)	32,603	D (2)	
Common Stock							2,826	I	By Company ESOP Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Transaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Options (right to buy)	\$ 24.46	06/08/2016		M	5,000	<u>(4)</u>	12/06/2016	Common Stock	5,000	
Employee Stock Options (right to buy)	\$ 26.46					<u>(4)</u>	12/05/2017	Common Stock	5,000	
Employee Stock Options (right to buy)	\$ 14.37					<u>(4)</u>	12/04/2018	Common Stock	5,800	
Employee Stock Options (right to buy)	\$ 27.26					<u>(4)</u>	12/03/2019	Common Stock	3,500	
Employee Stock Options (right to buy)	\$ 43.32					<u>(4)</u>	12/07/2020	Common Stock	4,000	
Employee Stock Options	\$ 43.73					<u>(4)</u>	11/28/2021	Common Stock	6,000	

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(right to buy)					
Employee Stock Options (right to buy)	\$ 61.59	<u>(5)</u>	11/28/2022	Common Stock	4,500
Employee Stock Options (right to buy)	\$ 71.75	<u>(6)</u>	11/25/2023	Common Stock	5,200
Employee Stock Options (right to buy)	\$ 79.66	<u>(7)</u>	11/24/2024	Common Stock	5,800
Employee Stock Options (right to buy)	\$ 70.91	<u>(8)</u>	11/23/2025	Common Stock	9,100

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
- · · · · · · · · · · · · · · · · · · ·							

DeVries James E

28601 CLEMENS ROAD Vice President

WESTLAKE, OH 44145

Signatures

Robert E. Veillette, Attorney-In-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Price reflected is the weighted-average sale price for shares sold. The shares were sold in multiple transactions, and the range of sale prices for the transactions reported was \$88.00 to \$88.06. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or any staff member of the Securities and Exchange Commission, upon request, information regarding the number of shares sold at each separate price.
- Exercise of reporting person's stock options that would otherwise expire in December 2016, of which shares were sold to pay the exercise price, to cover withholding taxes, and to pay broker fees and commissions, pursuant to a broker-assisted cashless exercise and sale. The holdings include 210 shares acquired through dividend payments under the Company's Dividend Reinvestment Plan and are net of shares previously withheld or sold to cover withholding taxes.

Reporting Owners 3

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- Represents the number of shares attributable to the reporting person's participation in the Company Stock Fund of the Nordson Employee (3) Stock Ownership Plan, exempt pursuant to Rule 16b-3(c). The reporting person disclaims beneficial ownership of these securities. Holdings are corrected due to a scrivener error in the December 1, 2015 reporting.
- (4) All such options have fully vested.
- On November 28, 2012, the Company awarded 4,500 stock options under the Company's Long-Term Performance Plan. The options vest in 4 equal annual installments beginning on November 28, 2013. The vested portions of such options will become exercisable upon vesting.
- On November 25, 2013, the Company awarded 5,200 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 25, 2014. The vested portions of such options will become exercisable upon vesting.
- On November 24, 2014, the Company awarded 5,800 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 24, 2015. The vested portions of such options will become exercisable upon vesting.
- On November 23, 2015, the Company awarded 9,100 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 23, 2016. The vested portions of such options will become exercisable upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.