MERK GREGORY P

Form 4/A January 29, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2. Issuer Name and Ticker or Trading

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

required to respond unless the form displays a currently valid OMB control

number.

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

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1. Name and Address of Reporting Person *

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Symbol

(Print or Type Responses)

1(b).

| | | | NORDSON CORP [NDSN] | | | (Check all applicable) | | | | | |
|---|---|--|---|---|---------------------|--|-----|---|-------|--------------------------------------|--|
| (Last) (First) (Middle) 28601 CLEMENS ROAD | | | 3. Date of Earliest Transaction (Month/Day/Year) 11/20/2017 | | | | | Director 10% Owner Officer (give title Other (specify below) Senior Vice President | | | |
| WESTLAK | 4. If Amendment, Date Original Filed(Month/Day/Year) 11/22/2017 | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction (Month/Day/Y | nsaction Date 2A. Deemed h/Day/Year) Execution Da any (Month/Day/ | | 3. Transaction Code (Instr. 8) | Disposed (Instr. 3, | ouired (A) or cosed of (D) r. 3, 4 and 5) | | 5. Amount of Securities Form: Direct (D) or Owned Indirect (I) Following Reported Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 08/28/2017 | | | G | 20 | D | \$0 | 49,710 | D (1) | | |
| Common Stock | | | | | | | | 364 | I | By Company Savings Plan (2) | |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not (9-02) | | | | | | | | | | | |

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Date (Month/Day/Year) | | Underlying Securities (Instr. 3 and 4) | | 8. Pri Deriv Secu (Instr |
|---|---|---|---|--|---|-------------------------------------|--------------------|---|---------------------------|-----------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | or Number of Shares | |
| Employee Stock Options (right to buy) | \$ 27.26 | | | | | (3) | 12/03/2019 | Common Stock | 12,200 | |
| Employee Stock Options (right to buy) | \$ 43.32 | | | | | (3) | 12/07/2020 | Common Stock | 9,000 | |
| Employee Stock Options (right to buy) | \$ 43.73 | | | | | (3) | 11/28/2021 | Common Stock | 10,000 | |
| Employee Stock Options (right to buy) | \$ 61.59 | | | | | (3) | 11/28/2022 | Common Stock | 7,600 | |
| Employee Stock Options (right to buy) | \$ 71.75 | | | | | <u>(4)</u> | 11/25/2023 | Common Stock | 7,600 | |
| Employee Stock Options (right to buy) | \$ 79.66 | | | | | <u>(5)</u> | 11/24/2024 | Common Stock | 8,300 | |
| Employee Stock | \$ 70.91 | | | | | <u>(6)</u> | 11/23/2025 | Common Stock | 13,400 | |

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| Options (right to buy) | | | | | |
|---|-----------|------------|------------|-----------------|--------|
| Employee Stock Options (right to buy) | \$ 107.65 | <u>(7)</u> | 11/21/2026 | Common Stock | 10,000 |
| Employee Stock Options (right to buy) | \$ 127.67 | <u>(8)</u> | 11/20/2027 | Common Stock | 9,000 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MERK GREGORY P 28601 CLEMENS ROAD WESTLAKE, OH 44145

Senior Vice President

Signatures

Gina A. Beredo, Attorney-In-Fact

01/29/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- A gift of shares was omitted from the reporting person's original Form 4 filed on November 22, 2017, and also omitted from the three

 Forms 4 filed by the reporting person thereafter, resulting in the net holdings balance in column 5 to be overstated by 20 shares. On

 August 28, 2017, the reporting person gifted a total of 20 shares. For tax purposes, the value date of the shares delivered is the date a gift
- (2) Represents the number of shares attributable to the reporting person's participation in the Company's Savings Plan, exempt pursuant to Rule 16b-3(c).
- (3) All such options have fully vested.
- On November 25, 2013, the Company awarded 7,600 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 25, 2014. The vested portions of such options will become exercisable upon vesting.
- On November 24, 2014, the Company awarded 8,300 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 24, 2015. The vested portions of such options will become exercisable upon vesting.
- On November 23, 2015, the Company awarded 13,400 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 23, 2016. The vested portions of such options will become exercisable upon vesting.

(7)

Reporting Owners 3

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On November 21, 2016, the Company awarded 10,000 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 21, 2017. The vested portions of such options will become exercisable upon vesting.

On November 20, 2017, the Company awarded 9,000 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 20, 2018. The vested portions of such options will become exercisable upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.