### Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

#### REGENERON PHARMACEUTICALS INC

Form 4

January 05, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Powchik Peter

(First)

(Street)

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

REGENERON

PHARMACEUTICALS INC

[REGN]

3. Date of Earliest Transaction

(Month/Day/Year)

12/31/2014

below)

(Check all applicable)

5. Relationship of Reporting Person(s) to

Director \_X\_\_ Officer (give title

10% Owner Other (specify

SVP Clinical Development & Reg

777 OLD SAW MILL RIVER ROAD

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

#### TARRYTOWN, NY 10591

(City)	(State)	(Zip) Tab	le I - Non-I	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit onor Dispos (Instr. 3,	(A)	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/31/2014		M <u>(1)</u>	25,000	A	\$ 52.03	35,711	D	
Common Stock	12/31/2014		F(1)	3,151	D	\$ 412.78	32,560	D	
Common Stock	12/31/2014		F(1)	11,027	D	\$ 412.78	21,533	D	
Common Stock	01/02/2015		S <u>(1)</u>	1,400	D	\$ 410.99 (2)	20,133	D	

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Common Stock	01/02/2015	S <u>(1)</u>	1,122	D	\$ 411.99 (3)	19,011	D	
Common Stock	01/02/2015	S <u>(1)</u>	1,700	D	\$ 412.81 (4)	17,311	D	
Common Stock	01/02/2015	S <u>(1)</u>	2,758	D	\$ 414.07 (5)	14,553	D	
Common Stock	01/02/2015	S <u>(1)</u>	1,642	D	\$ 415.2 (6)	12,911	D	
Common Stock	01/02/2015	S <u>(1)</u>	900	D	\$ 416.75 (7)	12,011	D	
Common Stock	01/02/2015	S <u>(1)</u>	1,000	D	\$ 417.44 (8)	11,011	D	
Common Stock	01/02/2015	S <u>(1)</u>	300	D	\$ 418.4 (9)	10,711	D	
Common Stock						18,000	I	by GRAT
Common Stock						1,621	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D		Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option	\$ 52.03	12/31/2014		M(1)	25,0	000	(10)	12/16/2021	Common Stock	25,0

(right to buy)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Powchik Peter 777 OLD SAW MILL RIVER ROAD TARRYTOWN, NY 10591 SVP Clinical
Development &
Reg

## **Signatures**

/s/\*\*Peter 01/03/2015

\*\*Signature of Reporting Person

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition/acquisition made pursuant to a plan intended to comply with Rule 10b5-1(c).
- Represents volume-weighted average price of sales of 1,400 shares of Company stock on January 2, 2015 at prices ranging from \$410.48 to \$411.36. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on January 2, 2015 at each separate price.
- Represents volume-weighted average price of sales of 1,122 shares of Company stock on January 2, 2015 at prices ranging from (3) \$411.53 to \$412.44. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on January 2, 2015 at each separate price.
- Represents volume-weighted average price of sales of 1,700 shares of Company stock on January 2, 2015 at prices ranging from \$412.58 to \$413.50. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on January 2, 2015 at each separate price.
- Represents volume-weighted average price of sales of 2,758 shares of Company stock on January 2, 2015 at prices ranging from \$413.75 to \$414.56. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on January 2, 2015 at each separate price.
- Represents volume-weighted average price of sales of 1,642 shares of Company stock on January 2, 2015 at prices ranging from \$414.97 to \$415.66. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on January 2, 2015 at each separate price.
- Represents volume-weighted average price of sales of 900 shares of Company stock on January 2, 2015 at prices ranging from \$416.00 to \$416.96. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on January 2, 2015 at each separate price.
- Represents volume-weighted average price of sales of 1,000 shares of Company stock on January 2, 2015 at prices ranging from \$417.03 to \$417.96. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on January 2, 2015 at each separate price.
- Represents volume-weighted average price of sales of 300 shares of Company stock on January 2, 2015 at prices ranging from \$418.16 to \$418.79. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on January 2, 2015 at each separate price.
- (10) The option became exercisable with respect to all shares underlying the option on December 31, 2014, based upon the satisfaction by the company of certain performance criteria during the period ended December 31, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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