**MOSAIC CO** Form 4 March 07, 2016

# FORM 4

#### **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response...

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Isaacson Mark J.

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(Middle)

MOSAIC CO [MOS]

3. Date of Earliest Transaction

(Check all applicable)

C/O THE MOSAIC

COMPANY, 3033 CAMPUS DRIVE, SUITE E490

Director 10% Owner X\_ Officer (give title Other (specify below)

03/03/2016

(Month/Day/Year)

SVP, Gen. Counsel & Corp. Sec.

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PLYMOUTH, MN 55441

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Ownership Owned Indirect (I) Following (Instr. 4) (Instr. 4)

Reported (A) Transaction(s)

or (Instr. 3 and 4) Code V Amount (D) Price

Common

(Instr. 3)

9,827 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number or Derivative Securities Acquired ( or Dispose (D) (Instr. 3, 4, and 5) | (A)<br>ed of | Expiration Dat      | Date Exercisable and piration Date onth/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|--|---|--------------|---------------------|---|-----------------|---|--|
|   |   |   |   | Code V                                 | (A)   | (D)          | Date<br>Exercisable | Expiration<br>Date                                | Title           | Amount<br>or<br>Number<br>of Shares                           |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 40.03  |   |   |  |   |              | <u>(1)</u>          | 08/02/2017  | Common<br>Stock | 4,693   |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 127.21   |   |   |  |   |              | <u>(1)</u>          | 07/31/2018  | Common<br>Stock | 1,152   |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 52.72  |   |   |  |   |              | <u>(1)</u>          | 07/27/2019  | Common<br>Stock | 2,246   |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 44.93  |   |   |  |   |              | <u>(1)</u>          | 07/27/2020  | Common<br>Stock | 2,598   |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 70.62  |   |   |  |   |              | <u>(1)</u>          | 07/21/2021  | Common<br>Stock | 1,480   |  |
| Restricted<br>Stock<br>Units                        | (2)   |   |   |  |   |              | 07/18/2016          | <u>(3)</u>  | Common<br>Stock | 1,666   |  |
| Restricted<br>Stock<br>Units                        | (2)   |   |   |  |   |              | 03/07/2017          | (3)   | Common<br>Stock | 1,877   |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 50.43  |   |   |  |   |              | <u>(4)</u>          | 03/05/2025  | Common<br>Stock | 7,461   |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 28.49  | 03/03/2016                              |   | A                                      | 18,539  |              | <u>(5)</u>          | 03/03/2026  | Common<br>Stock | 18,539  |  |

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### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                                      |       |  |  |  |
|---|---------------|-----------|--------------------------------------|-------|--|--|--|
| topotong o mac rumo, rumoso   | Director      | 10% Owner | Officer                              | Other |  |  |  |
| Isaacson Mark J. C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH MN 55441 |               |           | SVP, Gen.<br>Counsel &<br>Corp. Sec. |       |  |  |  |

# **Signatures**

/s/ Mark J.
Isaacson

\*\*Signature of Reporting Person

O3/03/2016

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Stock Option is 100% exercisable.
- (2) One-for-One
- (3) Not Applicable
- (4) Grant Date 03/05/2015; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (5) Grant Date 03/03/2016; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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