

China John  
Form 3  
April 28, 2011

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                         |  |
| China John                                |         | (Month/Day/Year)                     | SVB FINANCIAL GROUP [SIVB]   |  |
| (Last)                                    | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer                           | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
|   |         | 04/21/2011                           |  |  |
| 3005 TASMAN DRIVE                         |         |                                      | (Check all applicable)   | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
| (Street)                                  |         |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner       | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|   |         |                                      | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input type="checkbox"/> Form filed by More than One Reporting Person  |
|   |         |                                      | (give title below) (specify below)   |  |
|   |         |                                      | Head of Rel Mgmt   |  |
| SANTA CLARA, CA 95054                     |         |                                      |  |  |
| (City)                                    | (State) | (Zip)                                |  |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 885   | D  | ^   |
| Common Stock                    | 4,744   | I  | 401k/ESOP   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|---|---|---|
|--|--|---|---|---|---|

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|                       | Date Exercisable          | Expiration Date | Title        | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) |   |
|-----------------------|---------------------------|-----------------|--------------|----------------------------|----------|---------------------------------------|---|
| Stock Option          | 11/16/2002 <sup>(1)</sup> | 11/16/2011      | Common Stock | 1,500                      | \$ 26    | D                                     | Â |
| Stock Option          | 04/17/2003 <sup>(1)</sup> | 04/17/2012      | Common Stock | 2,500                      | \$ 31.29 | D                                     | Â |
| Stock Option          | 11/08/2003 <sup>(1)</sup> | 11/08/2012      | Common Stock | 1,500                      | \$ 17.07 | D                                     | Â |
| Stock Options         | 11/19/2005 <sup>(1)</sup> | 11/19/2011      | Common Stock | 1,500                      | \$ 41.66 | D                                     | Â |
| Stock Options         | 04/01/2006 <sup>(1)</sup> | 04/01/2012      | Common Stock | 825                        | \$ 43.49 | D                                     | Â |
| Stock Options         | 03/01/2007 <sup>(1)</sup> | 03/01/2013      | Common Stock | 1,750                      | \$ 51.61 | D                                     | Â |
| Stock Options         | 04/02/2008 <sup>(1)</sup> | 02/04/2014      | Common Stock | 2,000                      | \$ 48.15 | D                                     | Â |
| Stock Options         | 04/29/2009 <sup>(1)</sup> | 04/29/2015      | Common Stock | 1,890                      | \$ 48.76 | D                                     | Â |
| Stock Options         | 07/07/2009 <sup>(1)</sup> | 07/07/2015      | Common Stock | 174                        | \$ 45.11 | D                                     | Â |
| Stock Options         | 04/28/2010 <sup>(1)</sup> | 04/28/2016      | Common Stock | 3,704                      | \$ 19.48 | D                                     | Â |
| Stock Options         | 04/30/2011 <sup>(1)</sup> | 04/30/2017      | Common Stock | 2,500                      | \$ 49.18 | D                                     | Â |
| Stock Options         | 01/03/2012 <sup>(1)</sup> | 01/03/2018      | Common Stock | 2,520                      | \$ 54.88 | D                                     | Â |
| Restricted Stock Unit | 11/19/2008 <sup>(2)</sup> | 11/19/2011      | Common stock | 465                        | \$ 0     | D                                     | Â |
| Restricted Stock Unit | 04/29/2009 <sup>(2)</sup> | 04/29/2012      | Common stock | 374                        | \$ 0     | D                                     | Â |
| Restricted Stock Unit | 07/07/2009 <sup>(2)</sup> | 07/07/2012      | Common Stock | 34                         | \$ 0     | D                                     | Â |
| Restricted Stock Unit | 04/30/2011 <sup>(2)</sup> | 04/30/2014      | Common stock | 1,000                      | \$ 0     | D                                     | Â |
| Restricted Stock Unit | 01/03/2012 <sup>(2)</sup> | 01/03/2015      | Common Stock | 1,250                      | \$ 0     | D                                     | Â |

## Reporting Owners

Reporting Owner Name / Address

Relationships

## Edgar Filing: China John - Form 3

Director 10% Owner Officer Other

China John  
3005 TASMAN DRIVE                    ^                    ^                    ^ Head of Rel Mgmt                    ^  
SANTA CLARA, CA 95054

### Signatures

Lisa Bertolet as attorney                    04/28/2011  
in fact

\_\_Signature of Reporting Person                    Date

### Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Stock options vest 25% annually over four years from date of grant.
- (2) Restricted stock units vest 25% annually over 4 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.  
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