Edgar Filing: Hawthorne Douglas D. - Form 4

| Hawthorne D Form 4 January 12, 24 | - | | | | | | | | | | |
|---|--------------------------------------|---|--|--|----------------------------------|---|--|--|--|----------------------|--|
| FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. STATEMENT O Filed pursuant to Section 17(a) of the | | S SECURITIES AND EXCHANGE COM Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWNER SECURITIES Section 16(a) of the Securities Exchange Ac Public Utility Holding Company Act of 193 of the Investment Company Act of 1940 | | | | | NERSHIP OF ge Act of 1934, f 1935 or Sectio | OMB Number: Expires: Estimated a burden hou response | rs per | | |
| 1(b). (Print or Type R | esponses) | | | | | | | | | | |
| Hawthorne Douglas D. Symbo BOK | | | Symbol | FINANCIAL CORP ET AL | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) 4425 POTOM | | (Middle) | 3. Date of (Month/D) 01/12/20 | - | insaction | | | X Director Officer (give below) | | Owner er (specify | |
| | | | ndment, Date Original nth/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | | |
| DALLAS, T | X 75205 | | | | | | | Form filed by M Person | Aore than One Re | porting | |
| (City) | (State) | (Zip) | Table | e I - Non-De | erivative S | Securi | ties Acc | quired, Disposed of | f, or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Year | c) Execution any | on Date, if | 3. Transactic Code (Instr. 8) Code V | on(A) or Di (D) (Instr. 3, | 4 and (A) or | d of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 01/12/2018 | | | А | 75 | A | \$ 92.7 | 3,614 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | Securities Acquired (A) or Disposed of (D) (Instr. 3, | | | Amou Unde Secur | rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr |
|---|---|---|---|---------------------------------------|--|---------------------|--------------------|-----------------------|--|---|--|
| | | | | Code V | 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

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Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| I B | Director | 10% Owner | Officer | Other | | | |
| Hawthorne Douglas D. 4425 POTOMAC AVE. DALLAS, TX 75205 | Х | | | | | | |
| Signatures | | | | | | | |
| /s/ Frederic Dorwart, Power of Attorney | | 01/12/20 | 018 | | | | |
| **Signature of Reporting Person | | Date | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.