#### CALAVO GROWERS INC

Form 4

February 07, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 3235-0287

**OMB APPROVAL** 

Number:

Expires:

January 31,

2005

0.5

Estimated average

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Carbone Egidio

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading

Symbol

CALAVO GROWERS INC

5. Relationship of Reporting Person(s) to

Issuer

below)

X\_ Director

[CVGW]

(Check all applicable)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

10% Owner Other (specify Officer (give title

1141A CUMMINGS ROAD 02/06/2013

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SANTA PAULA, CA 93060

(City)	(State)	Table	e I - Non-D	erivative	Secur	ities Acqu	nred, Disposed of	, or Beneficiall	y Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	Execution Date, if	3. Transactio	` ′	ispose	d of (D)	5. Amount of Securities	6. Ownership Form: Direct	Indirect
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	(Instr. 3, 4 and 5) 8)		Beneficially Owned Following	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					(A) or		Reported Transaction(s)	(	(
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	02/06/2013		M	1,000	A	\$ 14.58	12,300	D	
Common Stock	02/06/2013		M	5,000	A	\$ 21.82	17,300	D	
Common Stock	02/06/2013		F	4,246	D	\$ 25.7	13,054	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form displays a currently valid OMB control

(9-02)

### Edgar Filing: CALAVO GROWERS INC - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercise Expiration Date (Month/Day/Y	e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 14.58	02/06/2013		M	1,000	<u>(1)</u>	<u>(1)</u>	Common Stock	1,000
Stock Option	\$ 21.82	02/06/2013		M	5,000	03/24/2012	03/24/2013	Common Stock	5,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Toporting o where the control of the	Director	10% Owner	Officer Other			
Carbone Egidio						
1141A CUMMINGS ROAD	X					
SANTA PALILA CA 93060						

# **Signatures**

/s/ Egidio
Carbone

\*\*Signature of Reporting Person

O2/07/2013

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Stock Option vests in equal increments on each anniversary date of the grant (i.e., May 21, 2008) over a five-year period, and each increment is exercisable for five years from its vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2