Edgar Filing: IRIDEX CORP - Form 4

IRIDEX CO	RP											
Form 4	014											
August 22, 2	_											
Check this box Washington, D.C. 20549 Nu Check this box Fractional Stream										9PROVAL 3235-0287		
						burden hou response	January 31 Expires: 2009 Estimated average burden hours per response 0.3					
(Print or Type F	Responses)											
HAWKINS JAMES B S			2. Issuer Name and Ticker or Trading Symbol IRIDEX CORP [IRIX]					5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (N		3. Date of Earliest Transaction (Chec				k all applicable)					
(M			(Month/Day/Year) 08/22/2014					X_ Director 10% Owner Officer (give title Other (specify below)				
					nendment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
MOUNTAI	N VIEW, CA 940	43						Form filed by N Person	Nore than One Re	eporting		
(City)	(State)	Zip)	Table	e I - Non-De	erivative S	Secur	ities Acc	uired, Disposed of	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3.4. Securities AcquiredTransaction(A) or Disposed ofCode(D)(Instr. 8)(Instr. 3, 4 and 5)			SecuritiesIBeneficially0OwnedI	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
G				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				
Common Stock	08/22/2014			М	600	А	\$ 4.86	31,894	D			
Common Stock	08/22/2014			S	600	D	\$ 8.03	31,294	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option Right to Buy <u>(1)</u>	\$ 4.86	08/22/2014	М	600	(2)	10/31/2014	Common Stock	600	\$

Reporting Owners

Reporting Owner Name / Address	Relationships							
i O	Director	10% Owner	Officer	Other				
HAWKINS JAMES B 1212 TERRA BELLA AVENUE MOUNTAIN VIEW, CA 94043	Х							
Signatures								
/s/ Susan Bruce, Attorney-in-Fact for James B.								
Hawkins			08/	22/2014				
<u>**</u> Signature of Reporting Per			Date					

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This option was granted pursuant to IRIDEX Corporation's incentive 1998 Stock Plan and is exempt pursuant to Rule 16b-3.

(2) Shares subject to the option are fully vested and immediately exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.