Edgar Filing: IRIDEX CORP - Form 4

IRIDEX CO	RP										
Form 4	014										
August 27, 2											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									APPROVAL		
	CIUILD			hington,					Number:	3235-0287	
Check thi				8,					Expires:	January 31,	
if no long subject to		IENT O	F CHAN	GES IN I	BENEFI	CIAI	L OW	NERSHIP OF	·	2005	
Subject to				SECURITIES					Estimated a burden hou	~	
Form 4 or	r								response	•	
Form 5 obligation	• •						•	e Act of 1934,			
may cont				•	U	· ·		f 1935 or Sectio	n		
See Instru	iction	30(h)	of the In	vestment	Company	/ Act	of 194	40			
1(b).											
(Print or Type F	Responses)										
JI											
1. Name and A	ddress of Reporting	Person [*]	2. Issuer	Name and	Ticker or T	Trading	g	5. Relationship of Reporting Person(s) to			
MOORE W	ILLIAM M		Symbol					Issuer			
			IRIDEX	CORP [IRIX]				(Check all applicable)			
(Last)	(First) (Middle)	3. Date of	Earliest Tra	ansaction			(Chec	ск ан аррисаби	e)	
			(Month/D	ay/Year)				X Director 10% Owner			
1212 TERRA BELLA AVENUE 08/19/20				014				X_ Officer (give title Other (specify below) below)			
								· · · · · · · · · · · · · · · · · · ·	irman and CEO	•	
	(Street)		4. If Ame	ndment, Dat	e Original			6. Individual or Jo	oint/Group Fili	19(Check	
				ith/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)			
				• •				_X_ Form filed by			
MOUNTAI	N VIEW, CA 94	043						Person	More than One Re	eporting	
(City)	(State)	(Zip)	T -11	I N. D		•	• • •	·	e		
								uired, Disposed o		-	
1.Title of Security	2. Transaction Dat (Month/Day/Year)			3. Transactic			-	5. Amount of Securities	6. Ownership Form: Direct		
(Instr. 3)	(Wonth/Day/Tear)	any	on Date, n	Transaction(A) or Disposed of Code (D)				Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership	
. ,		•	/Day/Year)	(Instr. 8) (Instr. 3, 4 and 5)							
								Following Reported	(Instr. 4)	(Instr. 4)	
						(A)		Transaction(s)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common							\$	a - a a c	-		
Stock	08/19/2014			Μ	15,000	А	4.3	97,396	D		
Common											
Stock								78,944	Ι	By Spouse	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	 5. Number of 5. Number of ctionDerivative Securities 3) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 		rivative Expiration Date curities (Month/Day/Year) quired (A) Disposed of str. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option Right to Buy <u>(1)</u>	\$ 4.3	08/19/2014		М		15,000	(2)	09/28/2014	Common Stock	15,000	

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
MOORE WILLIAM M 1212 TERRA BELLA AVENUE MOUNTAIN VIEW, CA 94043	Х		Chairman and CEO					
Signaturaa								

Signatures

/s/ Susan Bruce, Attorney-in-Fact for William M. Moore 08/27/2014 <u>**</u>Signature of Reporting Person Date

_Signature of Reporting reison

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This option was granted pursuant to IRIDEX Corporation's incentive 1998 Stock Plan and is exempt pursuant to Rule 16b-3.

(2) Shares subject to the option are fully vested and immediately exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.