TANG ANTHONY M

Form 5

February 11, 2013

OMB APPROVAL FORM 5 **OMB**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported 30(h) of the Investment Company Act of 1940 Form 4

Transactions Reported

1(b).

1. Name and Address of Reporting Person * TANG ANTHONY M			2. Issuer Name and Ticker or Trading Symbol CATHAY GENERAL BANCORP [CATY]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) 777 NORTH	(First) BROADW	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2012	X Director 10% Owner X Officer (give title Other (specify below) Senior EVP		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting (check applicable line)		

LOS ANGELES, CAÂ 90012

X Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	Â	Â	Â	Â	Â	Â	4,981	D	Â	
Common Stock	Â	Â	Â	Â	Â	Â	350,584	D	Â	
Common Stock	12/31/2012	Â	<u>J(1)</u>	226	A	\$ 16.77	88,997	I	By ESOP	
Common Stock	03/12/2012	Â	J <u>(2)</u>	168	A	\$ 15.6529	1,722,225.25	I	By spouse	
	06/12/2012	Â	J(2)	172.041	A	\$ 15.295	1,722,225.25	I		

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Common Stock									By spouse
Common Stock	09/14/2012	Â	J(2)	165.003	A	\$ 15.9578	1,722,225.25	I	By spouse
Common Stock	12/13/2012	Â	J(2)	155.634	A	\$ 16.929	1,722,225.25	I	By spouse
	eport on a separate lineficially owned direction	Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.						SEC 2270 (9-02)	

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. Transaction Code (Instr. 8)	Secu Acqu (A) o	ivative urities quired or posed D) tr. 3,	3		Underlying Securit	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Option	\$ 19.925	Â	Â	Â	Â	Â	01/16/2004(3)	01/16/2013	Common Stock	47,50
Stock Option	\$ 24.8	Â	Â	Â	Â	Â	11/20/2004(3)	11/20/2013	Common Stock	105,14
Stock Option	\$ 37	Â	Â	Â	Â	Â	02/17/2006(3)	02/17/2015	Common Stock	39,82
Stock Option	\$ 36.24	Â	Â	Â	Â	Â	01/25/2007(3)	01/25/2016	Common Stock	42,69
Stock Option	\$ 23.37	Â	Â	Â	Â	Â	02/21/2009(4)	02/21/2018	Common Stock	44,00
Restricted Stock Units	Â	Â	Â	Â	Â	Â	(6)	(6)	Common Stock	4,840
Restricted Stock Units	Â	Â	Â	Â	Â	Â	(7)	(7)	Common Stock	6,04
Restricted Stock Units	Â	Â	Â	Â	Â	Â	(8)	(8)	Common Stock	4,819

Restricted

Â Stock Units

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 $\hat{A}^{(9)}$

Common Stock

7,96

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other TANG ANTHONY M Â ÂX 777 NORTH BROADWAY Â Senior LOS ANGELES. CAÂ 90012 **EVP**

Signatures

Anthony M.

02/11/2013

Tang

Date

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) ESOP Earning Allocation.
- (2) Dividend Reinvestment.
- (3) The option is fully exercisable.
- (4) The option vests in 5 equal annual installments beginning 2/21/09.
- Consists of long term restricted stock units within the meaning of the Emergency Economic Stabilization Act of 2008, as amended from (5) time to time, and the rules and regulations promulgated thereunder ("EESA"). Each unit represents a contingent right to receive one share of CATY Common Stock.
- These restricted stock units are scheduled to vest in a single installment on March 23, 2013, or earlier in the event of death or disability, **(6)** and are subject ot certain transfern restrictions under EESA.
- These restricted stock units are scheduled to vest in a single installment on December 15, 2013, or earlier in the event of death or disability, and are subject of certain transfern restrictions under EESA.
- These restricted stock units are scheduled to vest in a single installment on May 8, 2014, or earlier in the event of death or disability, and (8) are subject of certain transfern restrictions under EESA.
- These restricted stock units are scheduled to vest in a single installment on December 20, 2014, or earlier in the event of death or disability, and are subject of certain transfern restrictions under EESA.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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