Edgar Filing: PEAPACK GLADSTONE FINANCIAL CORP - Form 4

Form 4	GLADSTONE F	INANCIA	AL COR	Р									
March 13, 2014									OMB APPROVAL				
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								DN	OMB 32		3235-0287		
Check th if no lon subject t Section Form 4 o Form 5	ger o STATEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,									January 31, res: 2005 nated average en hours per onse 0.5		
obligatio may con <i>See</i> Instr 1(b).	ons Section 17(a) of the	Public U		ding Co	mpar	ny Act	of 1935 or Sec					
(Print or Type	Responses)												
SMITH PHILIP W III Symbol PEAPA				er Name and ACK GLA	DSTON	ΙE	ing	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last)	(First) (I	Middle)		INANCIAL CORP [PGC] Date of Earliest Transaction				_X_ Director 10% Owner					
500 HILLS BOX 700	Day/Year) 2014				Officer (give titleOther (specify below)								
				endment, D onth/Day/Yea	-	al		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
BEDMINS	TER, NJ 07921							Form filed b Person					
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Secu	rities A	Acquired, Dispose	d of,	or Benef	icially	Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, any (Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8)	or)) 5)	BeneficiallyForOwnedDirFollowingor IReported(I)Transaction(s)(Instantion)		nership m: ect (D) ndirect tr. 4)	Indir Bene	eficial ership				
Common				Code V	Amount	or (D)	Price	(Instr. 3 and 4)					
Stock	03/11/2014			А	796	А	\$0	36,214.1185 (<u>3)</u>	D				
Common Stock								1,335	I		Mar Inc.	Phillary nagement , a poration	
Common Stock								8,213.5991 (<u>3)</u>	Ι		Spo	use	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day, e	Date Exercisable and xpiration Date Aonth/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock option (right to buy)	\$ 26.76					<u>(1)</u>	01/03/2017	Common Stock	2,310	
Stock option (right to buy)	\$ 23.4					(2)	01/02/2018	Common Stock	2,310	
Stock Option (right to buy)	\$ 13.43					(4)	01/04/2020	Common Stock	5,000	
Stock Option (right to buy)	\$ 13.53					(5)	01/03/2021	Common Stock	2,500	
Stock Option (right to buy)	\$ 10.72					(6)	01/03/2022	Common Stock	2,500	
Stock Option (right to buy)	\$ 14.68					(7)	01/02/2023	Common Stock	2,500	

8. Price Derivat Securit (Instr. 4

Reporting Owners

Reporting Owner Name / Addr	ess	Relationships							
F8	Direct	tor	10% Owner	Officer	Other				
SMITH PHILIP W III 500 HILLS DRIVE, SUITE 3 PO BOX 700 BEDMINSTER, NJ 07921	³⁰⁰ X								
Signatures									
Philip W. Smith III	03/13/2014								
**Signature of Reporting Person	Date								

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options are exercisable in five equal annual installments on January 3, 2008, 2009, 2010, 2011 and 2012.
- (2) The options are exercisable in five equal annual installments on January 2, 2009, 2010, 2011, 2012 and 2013.
- (3) Includes shares received through dividend reinvestment since the last filing.
- (4) The options are exercisable in four equal annual installments on January 4, 2011, 2012, 2013 and 2014.
- (5) The options are exercisable in four equal annual installments on January 3, 2012, 2013, 2014 and 2015.
- (6) The options are exercisable in four equal annual installments on January 3, 2013, 2014, 2015 and 2016.
- (7) The options are exercisable in three equal annual installments on January 2, 2014, 2015 and 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.