

FOREST LABORATORIES INC
Form 3
December 12, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Paul Sally
(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)
12/09/2013

3. Issuer Name and Ticker or Trading Symbol
FOREST LABORATORIES INC [FRX]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)
SVP - Human Resources

5. If Amendment, Date Original Filed(Month/Day/Year)

C/O FOREST LABORATORIES, INC., 909
THIRD AVENUE

(Street)

NEW YORK, NY 10022

(City) (State) (Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

Common Stock

2. Amount of Securities Beneficially Owned (Instr. 4)

25,185 ⁽¹⁾

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

D

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	11/16/2010 ⁽²⁾	11/15/2019	Common Stock	10,000	\$ 28.785	D	Â
Stock Option (Right to Buy)	12/06/2011 ⁽³⁾	12/05/2020	Common Stock	20,000	\$ 32.165	D	Â
Stock Option (Right to Buy)	12/05/2012 ⁽⁴⁾	12/04/2021	Common Stock	14,300	\$ 29.995	D	Â
Stock Option (Right to Buy)	05/07/2013 ⁽⁵⁾	05/06/2022	Common Stock	8,300	\$ 34.035	D	Â
Stock Option (Right to Buy)	05/21/2014 ⁽⁶⁾	05/20/2023	Common Stock	17,120	\$ 37.875	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Paull Sally C/O FOREST LABORATORIES, INC. 909 THIRD AVENUE NEW YORK, NY 10022	Â	Â	Â SVP - Human Resources	Â

Signatures

/s/ Sally Paull 12/12/2013

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amount includes shares of common stock which are subject to a risk of forfeiture.
- (2) The option became exercisable as to 1,500 shares covered by the option on the first four anniversaries of the grant date (November 16, 2009) and as to the remaining 4,000 shares on the fifth anniversary of the grant date.
- (3) The option became exercisable as to 3,000 shares covered by the option on the first four anniversaries of the grant date (December 6, 2010) and as to the remaining 8,000 shares on the fifth anniversary of the grant date.
- (4) The option became exercisable as to 25% of the shares covered by the option on each of the first four anniversaries of the grant date (December 5, 2011).
- (5) The option became exercisable as to 25% of the shares covered by the option on each of the first four anniversaries of the grant date (May 7, 2012).
- (6) The option became exercisable as to 25% of the shares covered by the option on each of the first four anniversaries of the grant date (May 21, 2013).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.