Edgar Filing: Kaplan Seth A - Form 4

Kaplan Seth	A									
Form 4										
September 1'	_									
FORM 4 UNITED STATES SECURITIES AND EX Washington, D.C. 2									9PROVAL 3235-0287	
Check thi if no long subject to Section 1 Form 4 or Form 5 obligation may cont <i>See</i> Instru 1(b).	6. Filed purs Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							January 31 Expires: 2009 Estimated average burden hours per response 0.8	
(Print or Type F	Responses)									
Kaplan Seth A Symbo			ssuer Name and Ticker or Trading ool ERGY EAST CORP [EAS]				5. Relationship of Reporting Person(s) to Issuer			
(Last)		e of Earliest Tra	_			(Check all applicable)				
27 HIGHWOOD AVENUE (Month/ (Street) 4. If Am			(Month/Day/Year)X_ Direc					give title 10% Owner Other (specify below)		
			mendment, Date Original Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
LARCHMO	NT, NY 10538						Person	Iore than One Re	eporting	
(City)	(State) (Zip) Ta	able I - Non-D	erivative S	Securi	ities Acc	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		Code	Transaction(A) or Disposed of Code (D)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	09/16/2008		Code V S	Amount 2,000 (3)	(D) D	Price \$ 28.5	0	D		
Reminder: Rep	ort on a separate line f	for each class of so	ecurities benefi	cially own	ed dir	ectly or	indirectly.			

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Kaplan Seth A - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Phantom Shares	<u>(1)</u>	09/16/2008		D		8,274.3214 $(2) (3)$	<u>(1)</u>	<u>(1)</u>	Common Stock	8,274.32

Reporting Owners

Reporting Owner Name / Addre	Relationships							
	Director	10% Owner	Officer	Other				
Kaplan Seth A 27 HIGHWOOD AVENUE LARCHMONT, NY 10538	Х							
Signatures								
Seth A. Kaplan	09/17/2008							

Seth A. Kaplan <u>**</u>Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom stock granted and dividend phantom stock credited to a participant's account under the Company's Director Share Plan may be settled in cash only after the participant ceases to serve as a director of the Company.
- (2) Includes dividend phantom stock acquired pursuant to the dividend reinvestment feature included in the Company's Director Share Plan which is exempt from reporting under Rule 16a-11.
- (3) All of the equity securities (common stock and phantom stock) in the Company previously reported by me as beneficially owned have automatically been sold at \$28.50 per share resulting from the sale of the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.