

Jung Holger R.
Form 4
November 01, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Jung Holger R.

(Last) (First) (Middle)

C/O KRATON
CORPORATION, 15710 JOHN F.
KENNEDY BLVD. SUITE 300

(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Kraton Corp [KRA]

3. Date of Earliest Transaction
(Month/Day/Year)

10/30/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
X Officer (give title below) ____ Other (specify
below)

SVP & Polymer Seg. President

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/30/2017		M	3,000	(A) or (D) \$ 23.84	45,585	D
Common Stock	10/30/2017		S	3,000	(1) \$ 47.83	42,585	D
Common Stock	10/30/2017		M	2,958	(A) or (D) \$ 36.98	45,543	D
Common Stock	10/30/2017		S	2,958	(2) \$ 48.23	42,585	D

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Common Stock	10/30/2017	M	16,800	A	\$ 36.98	59,385	D
Common Stock	10/30/2017	S	16,800	D	\$ 47.83 (1)	42,585	D
Common Stock	10/31/2017	M	10,000	A	\$ 27.98	52,585	D
Common Stock	10/31/2017	S	10,000	D	\$ 49.03 (3)	42,585	D
Common Stock	10/31/2017	M	10,000	A	\$ 28.42	52,585	D
Common Stock	10/31/2017	S	10,000	D	\$ 48.58 (4)	42,585	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Options (Right to Buy)	\$ 23.84	10/30/2017		M	3,000	(5) 03/04/2023	Common Stock 3,000
Employee Options (Right to Buy)	\$ 36.98	10/30/2017		M	2,958	(6) 03/14/2021	Common Stock 2,958
Employee Options (Right to Buy)	\$ 36.98	10/30/2017		M	16,800	(6) 03/14/2021	Common Stock 16,800

Buy)

Employee

Options (Right to Buy)	\$ 27.98	10/31/2017	M	10,000	<u>(7)</u>	03/03/2024	Common Stock	10,000
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Employee

Options (Right to Buy)	\$ 28.42	10/31/2017	M	10,000	<u>(8)</u>	03/05/2022	Common Stock	10,000
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Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Jung Holger R.
C/O KRATON CORPORATION
15710 JOHN F. KENNEDY BLVD. SUITE 300
HOUSTON, TX 77032

SVP & Polymer Seg. President

Signatures

/s/ James L. Simmons as Attorney-in-Fact for Holger R.
Jung

11/01/2017

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price in column 4 is a weighted average. The prices actually received ranged from \$47.77 to \$47.91. For all transactions reported in this Form 4 utilizing a weighted average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.

The price in column 4 is a weighted average. The prices actually received ranged from \$48.12 to \$48.43. For all transactions reported in this Form 4 utilizing a weighted average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.

The price in column 4 is a weighted average. The prices actually received ranged from \$49.00 to \$49.05. For all transactions reported in this Form 4 utilizing a weighted average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.

The price in column 4 is a weighted average. The prices actually received ranged from \$48.50 to \$48.65. For all transactions reported in this Form 4 utilizing a weighted average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.

(5) The options became exercisable in equal installments on each of the first three anniversaries of March 4, 2013.

(6) The options became exercisable in equal installments on each of the first three anniversaries of March 14, 2011.

(7) The options became exercisable in equal installments on each of the first three anniversaries of March 3, 2014.

(8) The options became exercisable in equal installments on each of the first three anniversaries of March 5, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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