

Edgar Filing: Duke Energy CORP - Form 8-K

Duke Energy CORP  
Form 8-K  
November 17, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 17, 2016

|                        |   |                                    |
|------------------------|---|------------------------------------|
| Commission file number | Registrant, State of Incorporation or Organization,<br>Address of Principal Executive Offices, and Telephone Number | IRS Employer<br>Identification No. |
|------------------------|---|------------------------------------|

|                        |  |   |
|------------------------|--|---|
| 1-32853                | DUKE ENERGY CORPORATION<br>(a Delaware corporation)<br>550 South Tryon Street<br>Charlotte, North Carolina 28202-1803<br>704-382-3853  | 20-2777218  |
| Commission file number | Registrant, State of Incorporation or Organization, Address of Principal Executive Offices, Telephone Number and IRS Employer Identification Number                          | Commission file number  |
| 1-4928                 | DUKE ENERGY CAROLINAS, LLC<br>(a North Carolina limited liability company)<br>526 South Church Street<br>Charlotte, North Carolina 28202-1803<br>704-382-3853<br>56-0205520  | 1-1232  |
| 1-3382                 | DUKE ENERGY PROGRESS, LLC<br>(a North Carolina limited liability company)<br>410 South Wilmington Street<br>Raleigh, North Carolina 27601-1748<br>704-382-3853<br>56-0165465 | 1-3543  |
| 1-3274                 | DUKE ENERGY FLORIDA, LLC<br>(a Florida limited liability company)<br>299 First Avenue North<br>St. Petersburg, Florida 33701<br>704-382-3853<br>59-0247770                   |   |
|                        |  | DUKE ENERGY OHIO, INC.<br>(an Ohio corporation)<br>139 East Fourth Street<br>Cincinnati, Ohio 45202<br>704-382-3853<br>31-0240030   |
|                        |  | DUKE ENERGY INDIANA, LLC.<br>(Formerly DUKE ENERGY INDIANA, INC.)<br>(an Indiana limited liability company)<br>1000 East Main Street<br>Plainfield, Indiana 46168<br>704-382-3853<br>35-0594457 |

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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Item 2.02. Results of Operations and Financial Conditions.

The information attached hereto as exhibit 99.1 provides supplemental financial information for Duke Energy Corporation.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

99.1 Third Quarter 2016 Statistical Supplement

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SIGNATURE

Pursuant to the requirements of the Securities and Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

DUKE ENERGY CORPORATION  
DUKE ENERGY CAROLINAS, LLC  
DUKE ENERGY PROGRESS, LLC  
DUKE ENERGY FLORIDA, LLC  
DUKE ENERGY OHIO, INC.  
DUKE ENERGY INDIANA, LLC

Date: November 17, 2016 By: /s/ William E. Currens Jr.

Name: William E. Currens Jr.

Title: Senior Vice President, Chief Accounting Officer and Controller

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EXHIBIT INDEX

| Exhibit | Description                               |
|---------|---|
| 99.1    | Third Quarter 2016 Statistical Supplement |