ENERGY EAST CORP

Form 4

February 09, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Zink Karen L

2. Issuer Name and Ticker or Trading Symbol

(Month/Day/Year)

02/07/2007

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

President-Subsidiary

(Last)

Common

Stock

(First) (Middle) 3. Date of Earliest Transaction

ENERGY EAST CORP [EAS]

Director X_ Officer (give title

below)

10% Owner Other (specify

THE BERKSHIRE GAS COMPANY, 115 CHESHIRE **ROAD**

> (Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting Person

PITTSFIELD, MA 01201

(City) (State) (Zip)

02/07/2007

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date 2A. Deemed 1. Title of Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Ownership Indirect (I) (Instr. 4) Following (Instr. 4) Reported

(A) or Code V Amount (D) Price 2.100 \$

Transaction(s) (Instr. 3 and 4)

A (7) (6) 24.76

9,510,0446 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	· · · · · · · · · · · · · · · · · · ·			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Number of Shares
Employee Stock Option (right to buy) / SAR (1)	\$ 22.47					06/27/2002(2)	06/27/2012	Common Stock	1,000
Employee Stock Option (right to buy) / SAR (1)	\$ 21.25					12/05/2002 ⁽³⁾	12/05/2012	Common Stock	5,000
Employee Stock Option (right to buy) / SAR (1)	\$ 26.22					04/01/2005(4)	04/01/2015	Common Stock	2,362
Employee Stock Option (right to buy) / SAR (1)	\$ 24.83					02/17/2006(5)	02/17/2016	Common Stock	2,400
Employee Stock Option (right to buy) / SAR (1)	\$ 24.76	02/07/2007		A	2,400	02/07/2007(8)	02/07/2017	Common Stock	2,400

Reporting Owners

Reporting Owner Name / Address		R		
	Director	10% Owner	Officer	Other

Reporting Owners 2

Zink Karen L THE BERKSHIRE GAS COMPANY 115 CHESHIRE ROAD PITTSFIELD, MA 01201

President-Subsidiary

Signatures

Karen L. Zink 02/08/2007

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - The Stock Appreciation Right (SAR) was issued in tandem with an Employee Stock Option (right to buy). The exercise of a SAR will result in the corresponding cancellation of the Employee Stock Option (right to buy) to the extent of the number of shares of the
- (1) Company's Common Stock as to which SARs are exercised. The exercise of the Employee Stock Option (right to buy) will result in the corresponding cancellation of a SAR to the extent of the number of shares of the Company's Common Stock as to which the Employee Stock Option (right to buy) is exercised.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on June 27, 2002; (b) in aggregate as to no more than 66 2/3% on January 1, 2003; and (c) on January 1, 2004 as to 100% of all options which have not been previously exercised.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on December 5, 2002; (b) in aggregate as to no more than 66 2/3% on January 1, 2004; and (c) on January 1, 2005 as to 100% of all options which have not been previously exercised.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on April 1, 2005; (b) in aggregate as to no more than 66 2/3% on January 1, 2006; and (c) on January 1, 2007 as to 100% of all options which have not been previously exercised.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 17, 2006; (b) in aggregate as to no more than 66 2/3% on January 1, 2007; and (c) on January 1, 2008 as to 100% of all options which have not been previously exercised.
- (6) Includes equity securities acquired with reinvested dividends which are exempt from reporting under Rule 16a-11.
- (7) Grant of Restricted Stock.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 7, 2007; (b) in aggregate as to no more than 66 2/3% on January 1, 2008; and (c) on January 1, 2009 as to 100% of all options which have not been previously exercised.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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