STONERIDGE INC

Form 4

March 08, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Number: January 31, 2005

0.5

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

See Instruction 1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Hartman Robert J. Jr.

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

tilluli 1000cit 3. 31.

Symbol

03/06/2017

(Middle)

STONERIDGE INC [SRI]

(Check all applicable)

C/O STONERIDGE, INC., 39675

(Street)

(First)

3. Date of Earliest Transaction (Month/Day/Year)

_____ Director _____ 10% Owner _____ X__ Officer (give title _____ Other (specify

6. Individual or Joint/Group Filing(Check

C/O STONERIDGE, INC., 39675 MACKENZIE DR. SUITE 400

4. If Amendment, Date Original

Chief Accounting Officer

below)

Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

D

Person

below)

NOVI, MI 48377

(City) (State) (Zip)
tle of 2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Security (Month/Day/Y (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any

(Month/Day/Year)

3. 4. Securities
TransactionAcquired (A) or
Code Disposed of (D)
(Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities I Beneficially Owned I Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or

Transaction(s)
(Instr. 3 and 4)

Code V Amount (D) Price (Instr. 3 and 4)

Common Shares.

Code v Amount (.

39,331

without par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Shares (1)	(1)	03/06/2017		A	7,695	<u>(1)</u>	<u>(1)</u>	Common Shares, without par value	7,695

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hartman Robert J. Jr. C/O STONERIDGE, INC. 39675 MACKENZIE DR. SUITE 400 NOVI, MI 48377

Chief Accounting Officer

Signatures

/s/ Robert M. Loesch, by power of attorney

03/08/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each Performance Share represents the contingent right to receive one Company Common Share. Provided that the Reporting Person 1) remains employed on March 6, 2020 2, 430 Common Shares shall be earned and depending on continued employment and Company
- (1) remains employed on March 6, 2020 2,430 Common Shares shall be earned and depending on continued employment and Company Performance 5,265 Common Shares may be earned.
- (2) Total includes Performance Shares previously granted to the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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