#### Edgar Filing: MACQUARIE/FIRST TRUST GLOBAL INFRASTR/UTIL DIV & INC FUND - Form 3

### MACQUARIE/FIRST TRUST GLOBAL INFRASTR/UTIL DIV & INC FUND

Form 3

October 06, 2006

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

response...

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Yates Oliver

(Last)

(First) (Middle)

C/O MACQUARIE FUND ADVISER, LLC, Â 600 FIFTH **AVENUE 21ST FLOOR** 

(Street)

(State)

NEW YORK, NYÂ 10020

1. Title of Security

(Instr. 4)

(City)

Statement

(Month/Day/Year) 09/27/2006

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol MACQUARIE/FIRST TRUST GLOBAL

INFRASTR/UTIL DIV & INC FUND [MFD]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director 10% Owner Officer \_X\_\_ Other (give title below) (specify below)

Confirmed as MFA Director

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

#### Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion Ownership or Exercise Price of Derivative Derivative Security:

6. Nature of Indirect Beneficial Ownership

Form of (Instr. 5)

5.

Title

Direct (D) Security

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Date Expiration Amount or or Indirect Exercisable Date Number of (I) Shares (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Yates Oliver

C/O MACQUARIE FUND ADVISER, LLC 600 FIFTH AVENUE 21ST FLOOR NEW YORK. NYÂ 10020

 $\hat{A}$   $\hat{A}$   $\hat{A}$  Confirmed as MFA Director

## **Signatures**

Alison
Davis-Rodriguez
10/06/2006

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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