Calumet Specialty Products Partners, L.P.

Form 4 April 05, 2016

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

1. Title of

Security

(Instr. 3)

1. Name and Address of Reporting Person \*

Murray Richard Patrick II

(First) (Middle)

2780 WATERFRONT PKWY. E.

DR., SUITE 200

(Street)

(State)

**INDIANAPOLIS, IN 46214** 

2. Issuer Name and Ticker or Trading

Symbol

Calumet Specialty Products Partners,

3. Date of Earliest Transaction

(Month/Day/Year)

L.P. [CLMT]

04/01/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

(Zip)

2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or

> Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Reported (A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed 1. Title of (Month/Day/Year) Execution Date, if TransactionDerivative Conversion

5. Number of

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of 8. Pr **Underlying Securities** 

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

EVP and CFO

6. Ownership

Form: Direct

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(I)

(Instr. 4)

10% Owner

Other (specify

7. Nature of

Ownership (Instr. 4)

Indirect

SEC 1474

(9-02)

(D) or Indirect Beneficial

Issuer

below)

Director

Applicable Line)

5. Amount of

Securities

Following

Owned

Beneficially

X\_ Officer (give title

Estimated average

burden hours per

1

#### Edgar Filing: Calumet Specialty Products Partners, L.P. - Form 4

| Security (Instr. 3) | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code (Instr. 8) | Securities Acquired (A) or Disposed (D) (Instr. 3, 4, and 5) | )                      | (Month/Day/Year)   |                | (Instr. 3 and 4)                    |      |
|---------------------|---------------------------------------------------|------------|-------------------------|-----------------|--------------------------------------------------------------|------------------------|--------------------|----------------|-------------------------------------|------|
|                     |                                                   |            |                         | Code V          | (A) (I                                                       | D) Date<br>Exercisable | Expiration<br>Date | Title          | Amount<br>or<br>Number<br>of Shares |      |
| Phantom<br>Unit     | <u>(1)</u>                                        | 04/01/2016 |                         | A               | 14,400                                                       | (2)                    | (2)                | Common<br>Unit | 14,400                              | \$ 1 |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Murray Richard Patrick II 2780 WATERFRONT PKWY. E. DR., SUITE 200 INDIANAPOLIS, IN 46214

**EVP** and **CFO** 

#### **Signatures**

R. Patrick Murray, II, as attorney-in-fact 04/05/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Phantom Unit is the economic equivalent of a Calumet Specialty Products Partners, L.P. Common Unit.
- (2) 25% of the Phantom Units vested immediately and the remaining vest ratably over three years on December 31 of each year beginning on December 31, 2016. Tax withholding liabilities will be deducted at a later date when such vested units are issued and delivered.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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