

COLUMBUS MCKINNON CORP  
Form 4  
June 03, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Steinberg Richard A

2. Issuer Name and Ticker or Trading Symbol  
COLUMBUS MCKINNON CORP  
[CMCO]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
V.P. - Human Resources

(Last) (First) (Middle)  
140 JOHN JAMES AUDUBON  
PARKWAY  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/30/2014

AMHERST, NY 14228-1197

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |   |
| Common Stock                    |                                      |  |                                |   | 13,010 <sup>(1)</sup>   | D  |   |
| Common Stock                    | 05/30/2014                           |  | M                              |   | 9,891 A \$ 21.61  | 22,901 <sup>(1)</sup>                                    | D   |
| Common Stock                    | 05/30/2014                           |  | S                              |   | 8,534 D \$ 28.32  | 14,367 <sup>(1)</sup>                                    | D   |
| Common Stock                    | 05/30/2014                           |  | M                              |   | 1,700 A \$ 21.61  | 16,067 <sup>(1)</sup>                                    | D   |
| Common Stock                    | 05/30/2014                           |  | S                              |   | 1,700 D \$ 28.15  | 14,367 <sup>(1)</sup>                                    | D   |

Common Stock 974,5191 <sup>(2)</sup> D

Common Stock 470,755.4809 I Additional shares held by ESOP; reporting person is 1 of 3 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares |                |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|----------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                         | Am or Nur of S |
| Incentive Stock Options (Right to Buy)     | \$ 21.61   | 05/30/2014                           |  | M                              | 11,591  | 10/16/2006 10/15/2015                                    | Common Stock  | 11                            |                |
| Incentive Stock Options (Right to Buy)     | \$ 28.45   |                                      |  |                                |   | 05/19/2009 05/18/2018                                    | Common Stock  | 1,                            |                |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.27   |                                      |  |                                |   | 05/18/2010 05/17/2019                                    | Common Stock  | 3,                            |                |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.24   |                                      |  |                                |   | 05/17/2011 05/16/2020                                    | Common Stock  | 2,                            |                |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.5  |                                      |  |                                |   | 05/23/2012 05/22/2021                                    | Common Stock  | 2,                            |                |

