## Edgar Filing: CIENA CORP - Form 4

CIENA CO	RP											
Form 4												
December 2	23, 2014											
FORM	14 UNITED	статгс	SECU	DITIE	<b>c</b> /		СП	NCE CO	MMISSION		PROVAL	
		SECURITIES AND EXCHANGE COMMISS Washington, D.C. 20549						OMB Number:	3235-0287			
Subject to Section 16. Form 4 or Form 5 obligations Filed pursuant to S			F CHANGES IN BENEFICIAL OWNERSHI SECURITIES Section 16(a) of the Securities Exchange Act of Public Utility Holding Company Act of 1935 or						Act of 1934,	Expires: Estimated a burden hour response		
See Inst 1(b).		30(h)	of the II	ivestm	ent	t Compa	ny A	ct of 1940	I			
(Print or Type	Responses)											
								8	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle)			3. Date of Earliest Transaction						(Check all applicable)			
C/O CIENA RIDGE RD	(Month/Day/Year) 12/22/2014						Director       10% Owner         Officer (give title       Other (specify below)         below)       VP, CONTROLLER					
										ndividual or Joint/Group Filing(Check licable Line)		
HANOVEI	R, MD 21076-142	6	Ň	,		,		-	X_ Form filed by On Form filed by Mo Person			
(City)	(State)	(Zip)	Tab	le I - No	on-I	Derivative	e Secu	rities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	Security (Month/Day/Year) Execution Date, if			Code (Instr.	8)	omr Dispos (Instr. 3,	sed of 4 and (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	couritiesOwnershipIndirecteneficiallyForm:BeneficialwnedDirect (D)Ownershipollowingor Indirect(Instr. 4)eported(I)ransaction(s)(Instr. 4)		
Common Stock	12/22/2014			D	v	Amount 241 (1)	(D) D	Price \$ 18.6113	54,212 <u>(2)</u>	D		
Common Stock	12/22/2014			D		198 <u>(3)</u>	D	\$ 18.6103	54,014 <u>(2)</u>	D		
Common Stock	12/22/2014			D		787 <u>(4)</u>	D	\$ 18.6117	53,227 <u>(2)</u>	D		
Common Stock	12/22/2014			D		525 <u>(4)</u>	D	\$ 18.6094	52,702 <u>(2)</u>	D		
Common Stock	12/22/2014			D		197 <u>(5)</u>	D	\$ 18.6101	52,505 <u>(2)</u>	D		

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Common Stock	12/22/2014	D	120 <u>(6)</u> D	$^{\$}_{18.6085}$ 52,385 $(2)$	D
Common Stock	12/22/2014	D	450 <u>(7)</u> D	\$ 18.615 51,935 (2)	D
Common Stock	12/22/2014	D	151 <u>(7)</u> D	\$ 18.6109 51,784 (2)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exer		7. Title a		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio		Expiration D		Amount		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlyi	ing	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Owne
	Security				Acquired						Follo
	2				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						、
					(insu: 5, 4, and 5)						
					i, und 5)						
								А	mount		
						Data	Emination	01	r		
						Date	Expiration	Title N	lumber		
						Exercisable	Date	of			
				Code V	(A) (D)			SI	hares		
					() (2)						

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships							
Reporting O when I tunie / Hutress	Director	10% Owner	Officer	Other				
PETRIK ANDREW C C/O CIENA CORPORATION 7035 RIDGE RD. HANOVER, MD 21076-1426			VP, CONTROLLER					
Signatures								
By: Erik Lichter For: Andrew C Petrik	· · ·	12/23/20	)14					

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related
  (1) to a restricted stock unit (RSU) award agreement dated 12/14/2010. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/16/2010.
- (2) Shares reported include unvested Restricted Stock Units (RSUs).
- Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related
  (3) to a restricted stock unit (RSU) award agreement dated 12/15/2011. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2011.

Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related
 (4) to performance stock unit (PSU) award agreement dated 12/18/2012. The earning of the PSU was previously reported on Table I of reporting person's Form 4 on 12/19/2013.

- Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related
  (5) to a restricted stock unit (RSU) award agreement dated 12/18/2012. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/20/2012.
- Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related
  (6) to a restricted stock unit (RSU) award agreement dated 12/17/2013. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2013.
- Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related
   (7) to a performance stock unit (PSU) award agreement dated 12/17/2013. The earning of the PSU was previously reported on Table I of reporting person's Form 4 on 12/19/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.