

Benjamin Mark D  
Form 4  
April 15, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Benjamin Mark D

2. Issuer Name **and** Ticker or Trading  
Symbol  
Nuance Communications, Inc.  
[NUAN]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
  
ONE WAYSIDE ROAD  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/11/2019

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Chief Executive Officer

BURLINGTON, MA 01803

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/11/2019		J	(A) or (D) D	Amount (1) 116,071 Price \$ 0.001	583,397	D
Common Stock	04/11/2019		A	(A) or (D) A	Amount (2) 116,071 Price \$ 0.001	699,468	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Transaction (Instr. 6)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Benjamin Mark D ONE WAYSIDE ROAD BURLINGTON, MA 01803	X		Chief Executive Officer	

## Signatures

By: /s/ Donna Belanger For: Mark Benjamin

04/15/2019

          \*\*Signature of Reporting Person

Date \_\_\_\_\_

### Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On May 7, 2018, Mr. Benjamin was granted 328,256 restricted stock units (RSUs) pursuant to the Nuance Communications, Inc. Amended and Restated 2000 Stock plan (the Plan). On April 11, 2019, the award agreement governing such grant was amended to rescind 116,071 RSUs. The RSUs were rescinded because the Plan provides that no recipient shall be granted, in any fiscal year, RSUs covering more than 1,000,000 shares, and the equity awards made to Mr. Benjamin in the fiscal year ended September 30, 2018 covered 1,116,071 shares, assuming maximum performance of his performance share units. For the amended award of 212,185 RSUs, 70,729 will vest on 04/23/2019, 70,728 will vest on 04/23/2020 and 70,728 will vest on 04/23/2021.

(2) On April 11, 2019, Mr. Benjamin was granted 116,071 RSUs pursuant to the Plan. 38,691 RSUs will vest on 04/23/2019, 38,690 will vest on 04/23/2020 and 38,690 will vest on 04/23/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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